



**4GWE**<sup>TM</sup>  
4G WIRELESS EVOLUTION

**octoScope**

21 Jan 11

# *The Evolving Standards*

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President  
octoScope, Inc.

13-Sep-11



Presented by:



Long Ago...



BBC broadcast 1935

Today



Over the last 5 years wireless bandwidth deployed in the US has increased 553-fold.

*George Gilder*  
*Chairman, Gilder Technology Group*

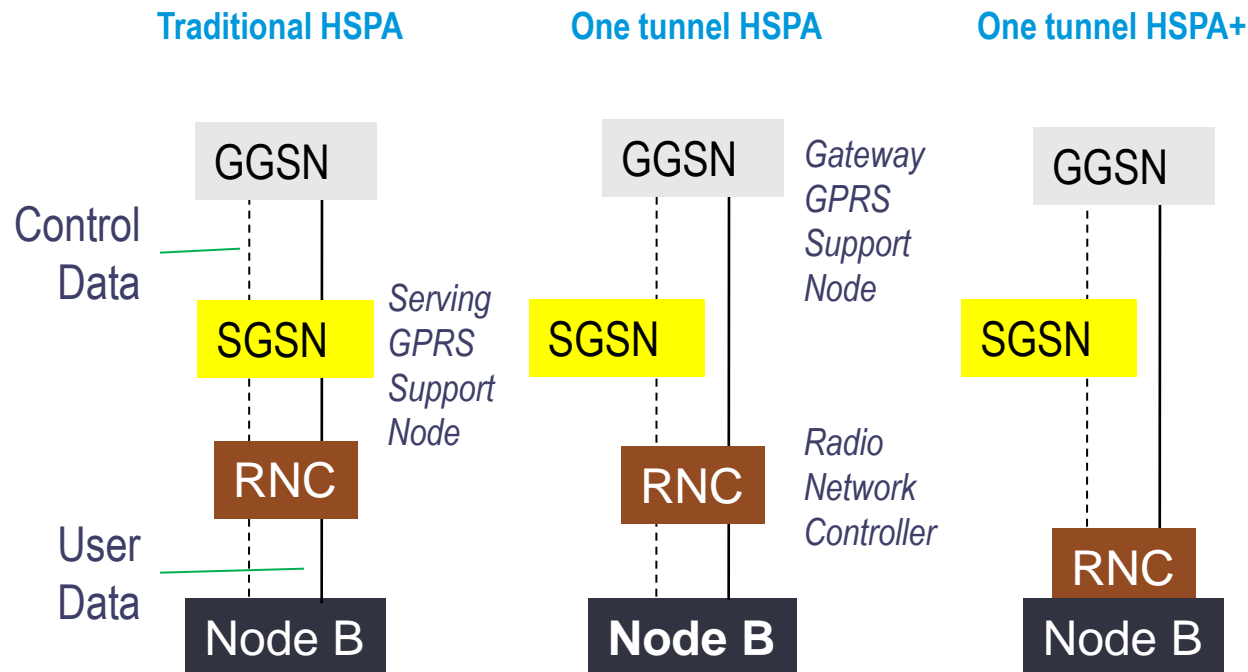
| G    | The G's                                                 | Peak Data Rate (Mbps)                                    |           |
|------|---------------------------------------------------------|----------------------------------------------------------|-----------|
|      |                                                         | Downlink                                                 | Uplink    |
| 1    | Analog                                                  | 19.2 kbps                                                |           |
| 2    | Digital – TDMA, CDMA                                    | 14.4 kbps                                                |           |
| 3    | Improved CDMA variants (WCDMA, CDMA2000)                | 144 kbps (1xRTT);<br>384 kbps (UMTS);<br>2.4 Mbps (EVDO) |           |
| 3.5  | HSPA (today)                                            | 14 Mbps                                                  | 2 Mbps    |
| 3.75 | HSPA (Release 7) DL 64QAM or 2x2 MIMO; UL 16QAM         | 28 Mbps                                                  | 11.5 Mbps |
|      | HSPA (Release 8) DL 64QAM and 2x2 MIMO                  | 42 Mbps                                                  | 11.5 Mbps |
| 3.9  | WiMAX Release 1.0 TDD (2:1 UL/DL ratio), 10 MHz channel | 40 Mbps                                                  | 10 Mbps   |
|      | LTE, FDD 5 MHz UL/DL, 2 Layers DL                       | 43.2 Mbps                                                | 21.6 Mbps |
|      | LTE CAT-3                                               | 100 Mbps                                                 | 50 Mbps   |

Maximum LTE data rates in the 20 MHz channel are 326 Mbps DL (4 streams), 172 Mbps UL (2 streams)

OFDM

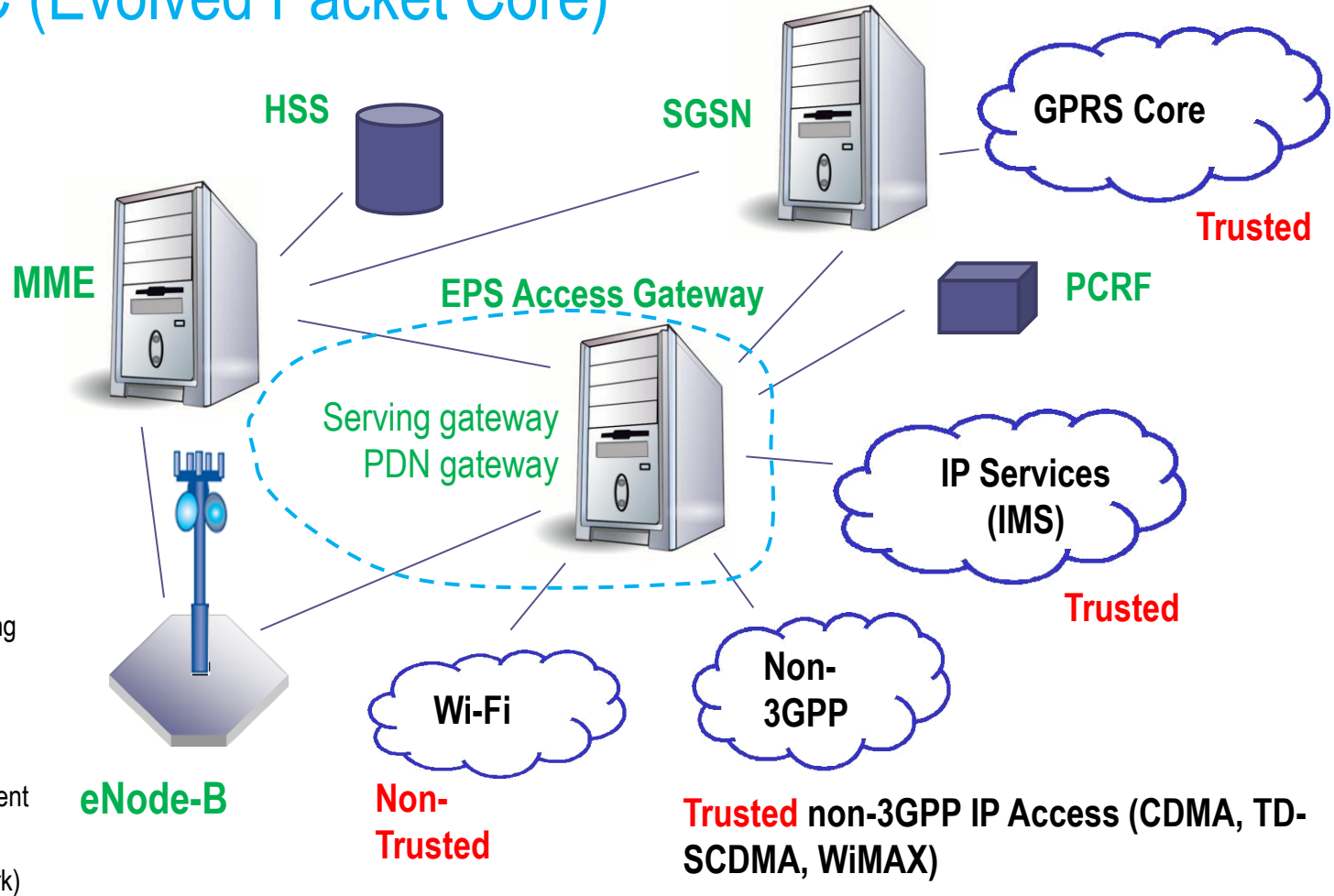
## HSPA and HSPA+

- HSPA+ is aimed at extending operators' investment in HSPA
  - 2x2 MIMO, 64 QAM in the downlink, 16 QAM in the uplink
  - Data rates up to 42 MB in the downlink and 11.5 MB in the uplink.



One-tunnel architecture flattens the network by enabling a direct transport path for user data between RNC and the GGSN, thus minimizing delays and set-up time

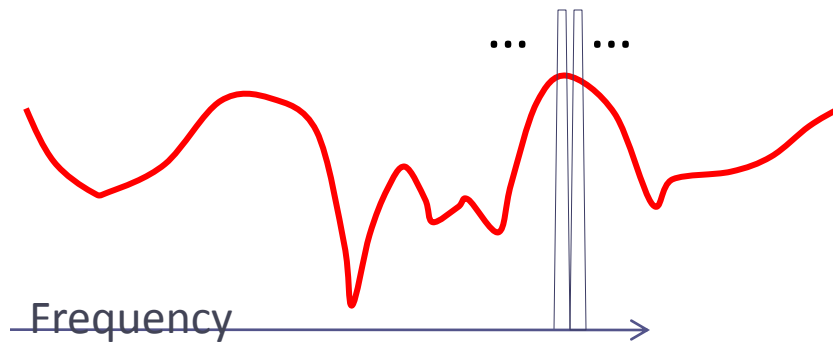
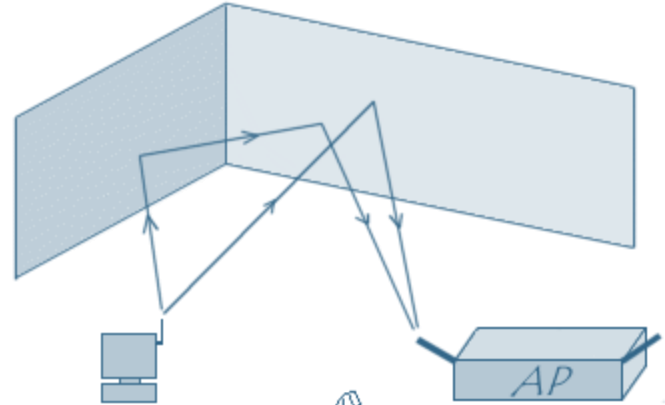
# LTE EPC (Evolved Packet Core)



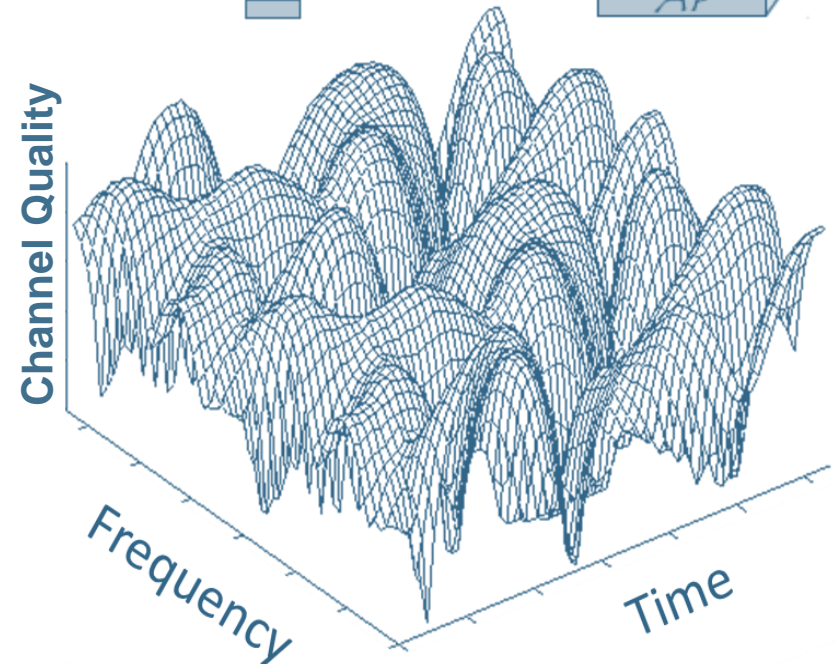
**Flat, low-latency architecture**

## OFDM and MIMO

- OFDM transforms a frequency- and time-variable fading channel into parallel correlated flat-fading channels, enabling wide bandwidth operation



Frequency-variable channel appears flat over the narrow band of an OFDM subcarrier.

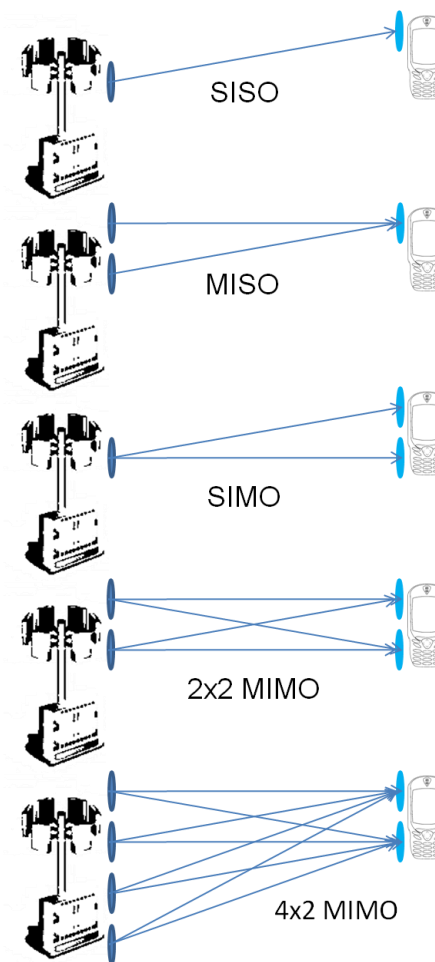


OFDM = orthogonal frequency division multiplexing  
MIMO = multiple input multiple output

# Multiple Antenna Techniques

- SISO (Single Input Single Output)
  - Traditional radio
- MISO (Multiple Input Single Output)
  - Transmit diversity (STBC, SFBC, CDD)
- SIMO (Single Input Multiple Output)
  - Receive diversity, MRC
- MIMO (Multiple Input Multiple Output)
  - SM to transmit multiple streams simultaneously; can be used in conjunction with CDD; works best in high SNR environments and channels de-correlated by multipath
  - TX and RX diversity, used independently or together; used to enhance throughput in the presence of adverse channel conditions
- Beamforming

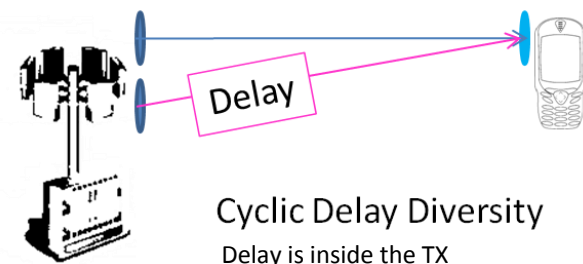
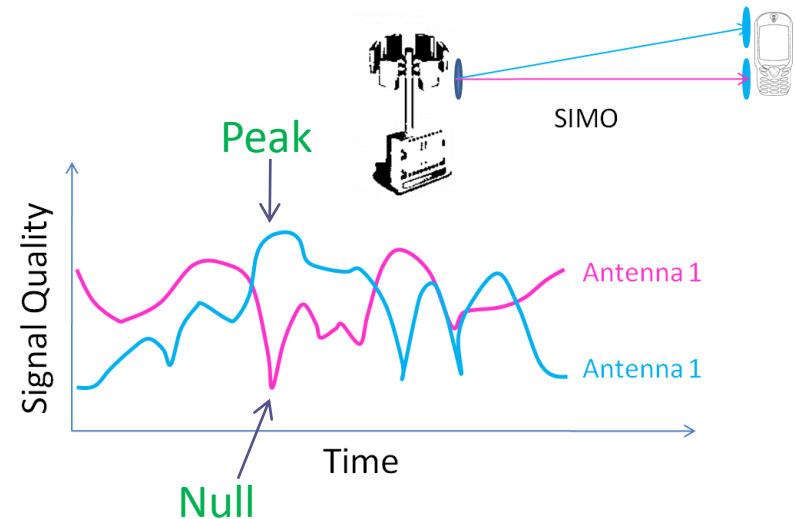
SM = spatial multiplexing  
 SFBC = space frequency block coding  
 STBC = space time block coding  
 CDD = cyclic delay diversity  
 MRC = maximal ratio combining  
 SM = Spatial Multiplexing  
 SNR = signal to noise ratio



## MIMO Based RX and TX Diversity

- When 2 receivers are available in a MIMO radio MRC can be used to combine signals from two or more antennas, improving SNR
- MIMO also enables transmit diversity techniques, including CDD, STBC, SFBC
- TX diversity spreads the signal creating artificial multipath to decorrelate signals from different transmitters so as to optimize signal reception

MIMO = multiple input multiple output  
 SIMO = single input multiple outputs  
 SM = spatial multiplexing  
 SFBC = space frequency block coding  
 STBC = space time block coding  
 CDD = cyclic delay diversity  
 MRC = maximal ratio combining  
 SM = Spatial Multiplexing  
 SNR = signal to noise ratio



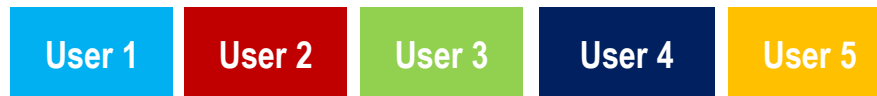
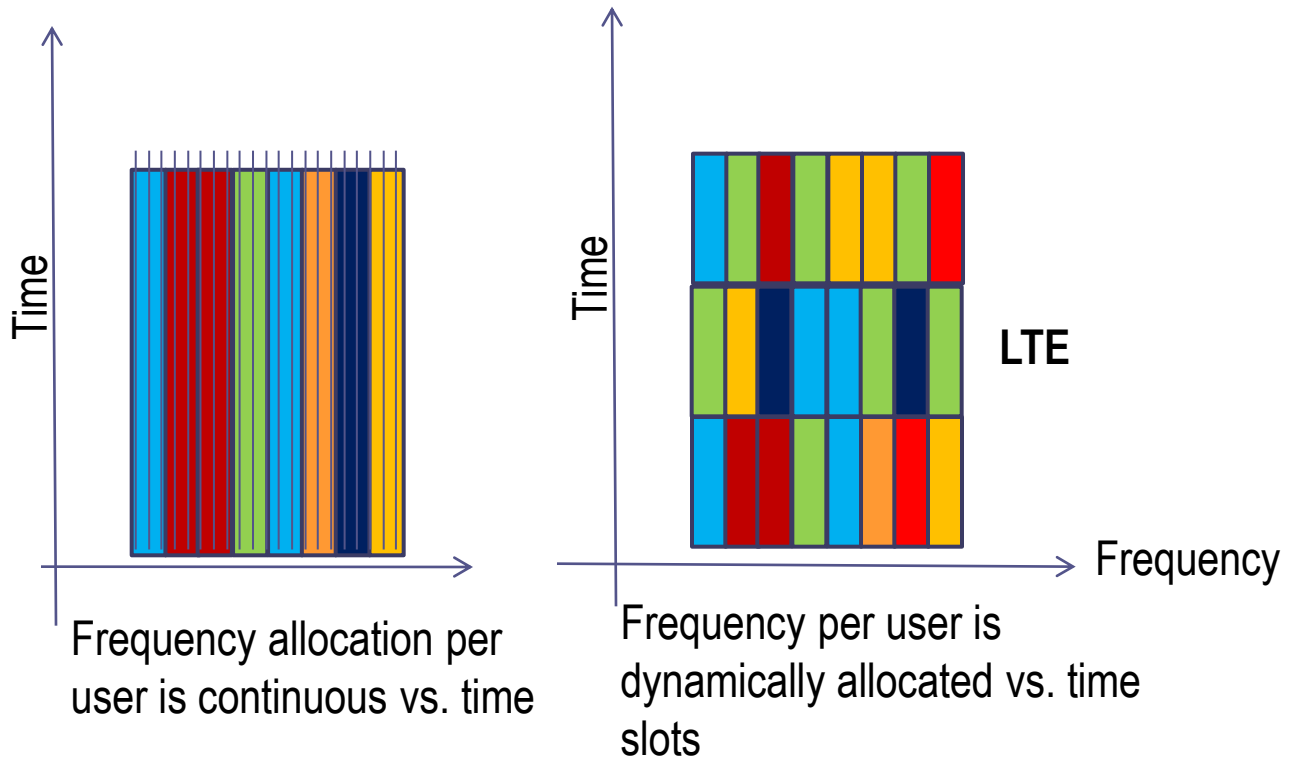


# OFDMA

OFDM is a modulation scheme

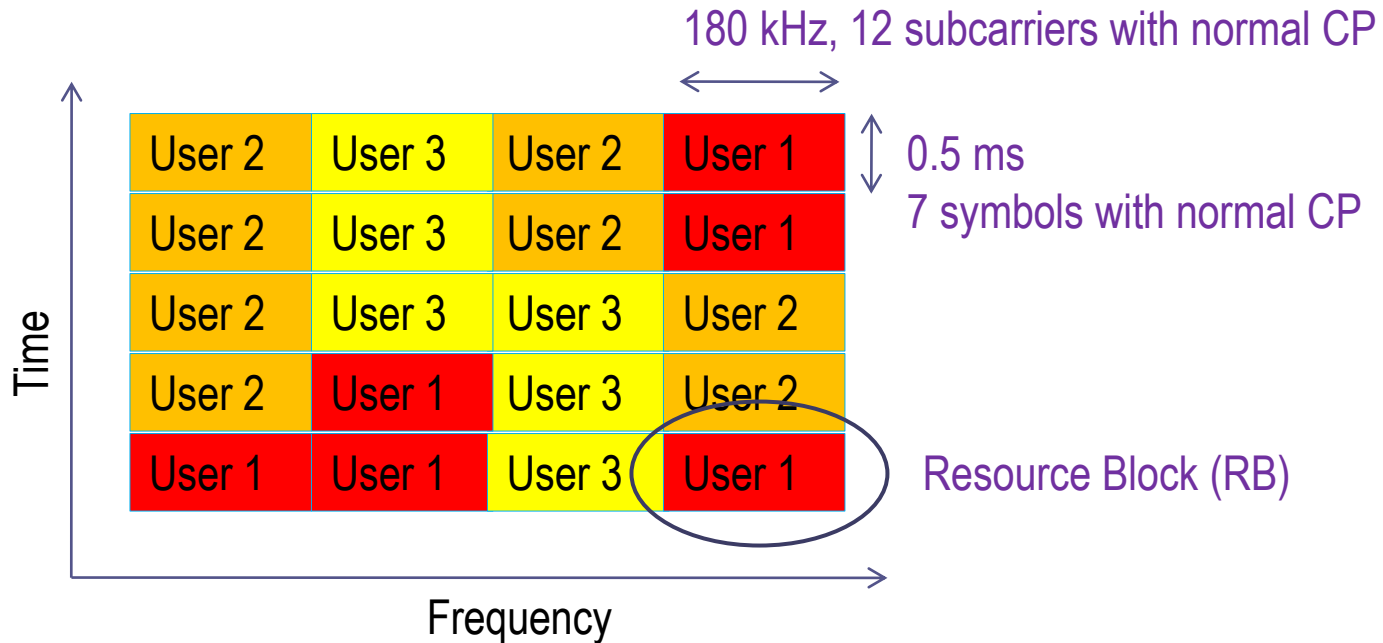
OFDMA is a modulation and access scheme

Multiple Access



OFDM = orthogonal frequency division multiplexing  
OFDMA = orthogonal frequency division multiple access

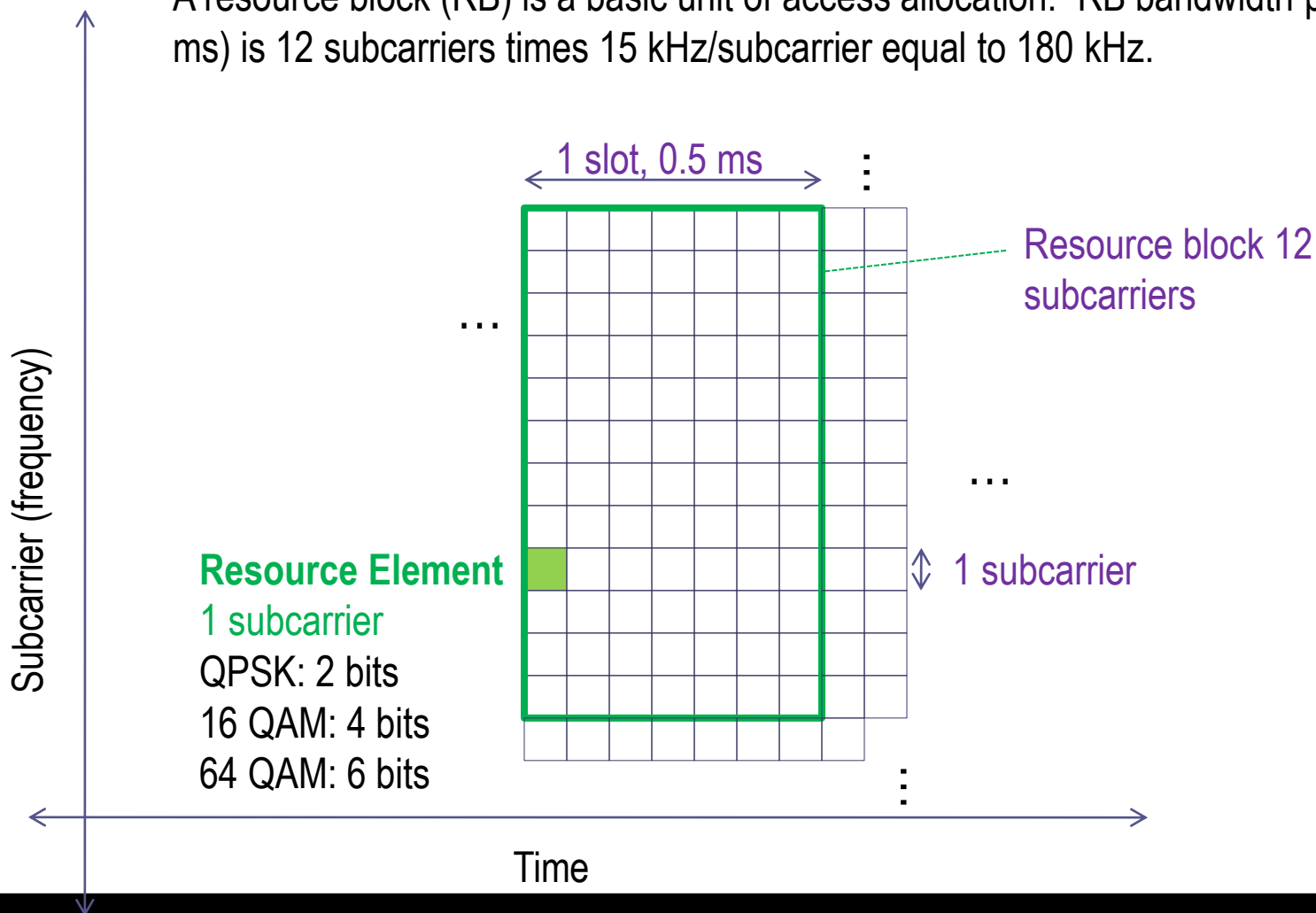
# LTE Resource Allocation



- Resources are allocated per user in time and frequency. RB is the basic unit of allocation.
- RB is 180 kHz by 0.5 ms; typically 12 subcarriers by 7 OFDM symbols, but the number of subcarriers and symbols can vary based on CP

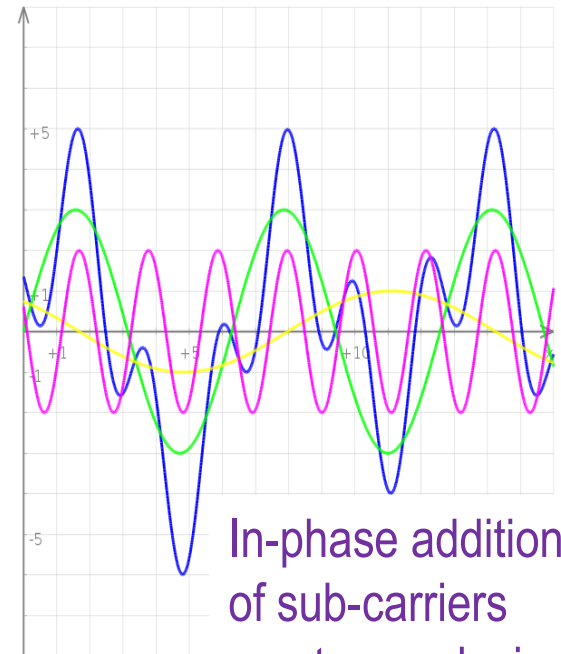
# Resource Block

A resource block (RB) is a basic unit of access allocation. RB bandwidth per slot (0.5 ms) is 12 subcarriers times 15 kHz/subcarrier equal to 180 kHz.



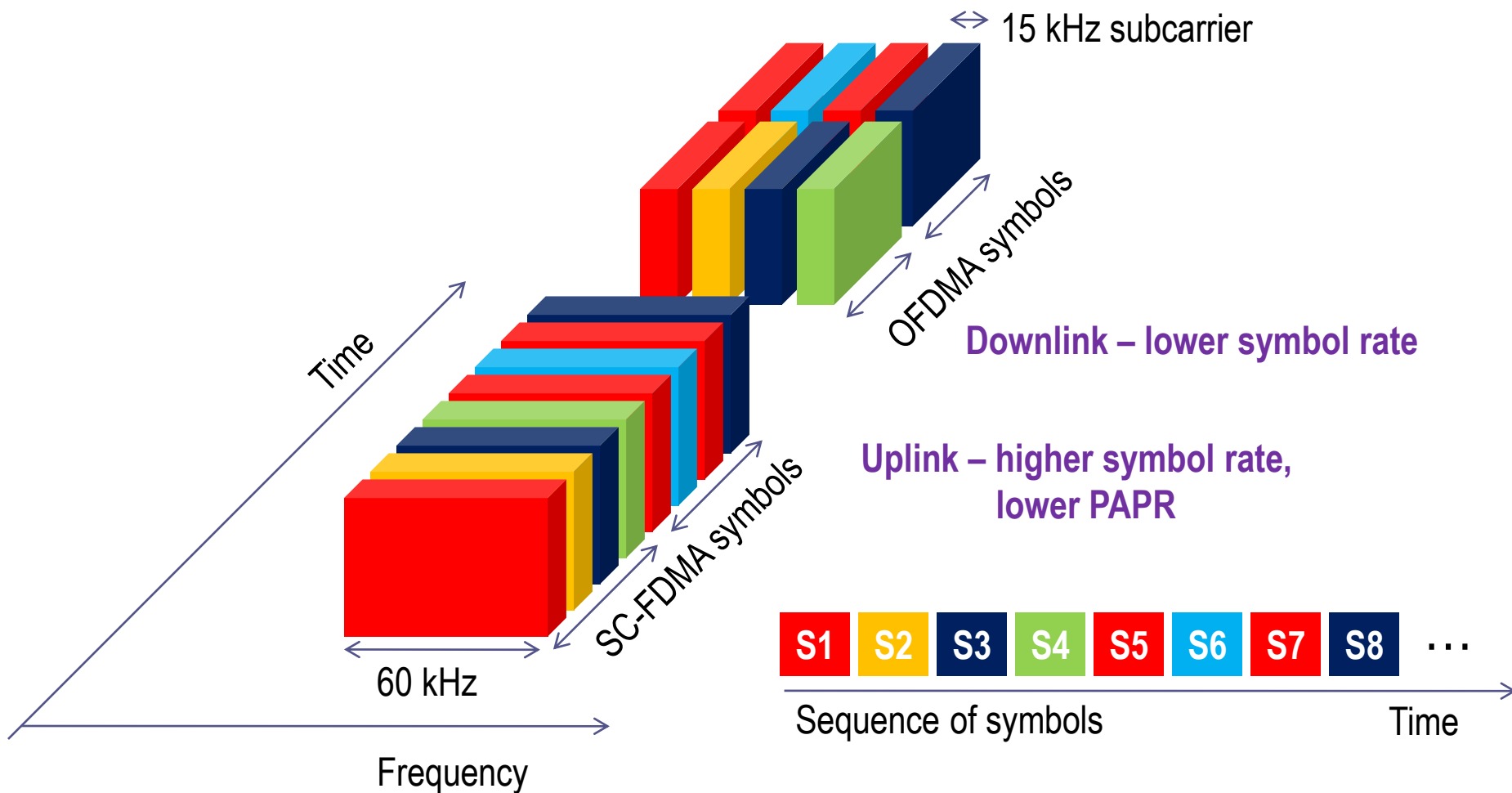
## OFDMA vs. SC-FDMA (LTE Uplink)

- Multi-carrier OFDM signal exhibits high PAPR (Peak to Average Power Ratio) due to in-phase addition of subcarriers.
- Power Amplifiers (PAs) must accommodate occasional peaks and this results low efficiency of PAs, typically only 15-20% efficient. Low PA efficiency significantly shortens battery life.
- To minimize PAPR, LTE has adapted SC-FDMA (single carrier OFDM) in the uplink. SC-FDMA exhibits 3-6 dB less PAPR than OFDMA.

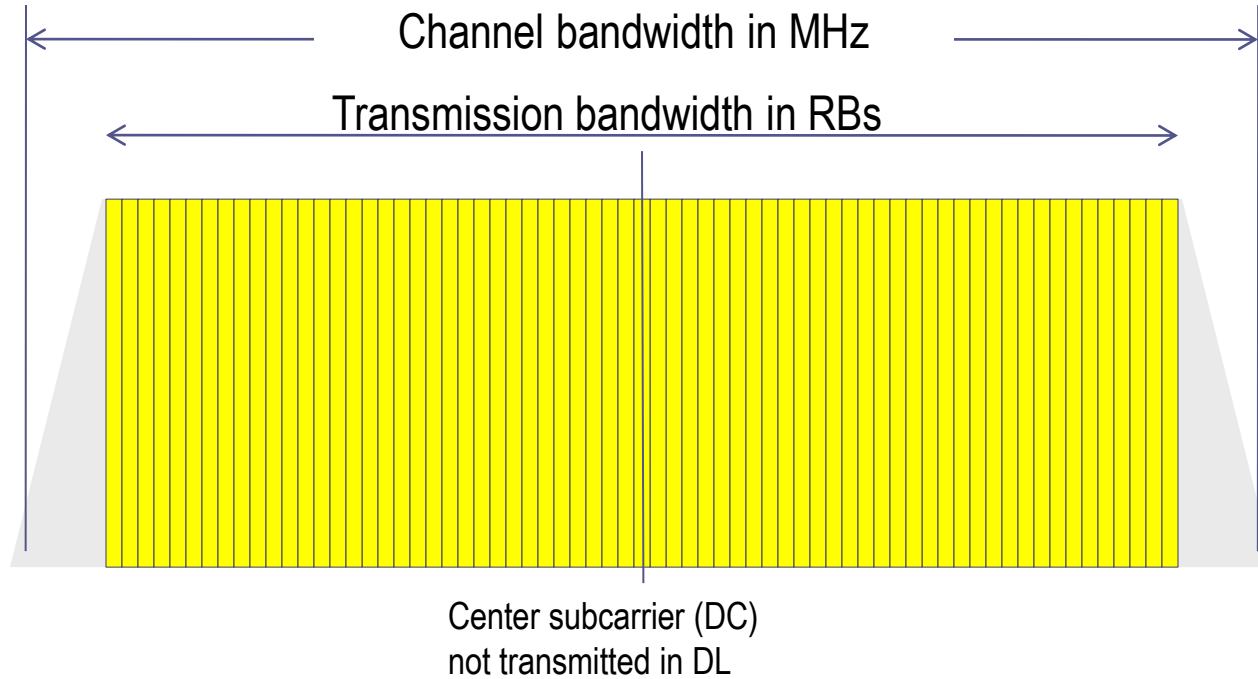


In-phase addition  
of sub-carriers  
creates peaks in  
the OFDM signal

# SC-FDMA vs. OFDMA



# LTE Scalable Channel Bandwidth

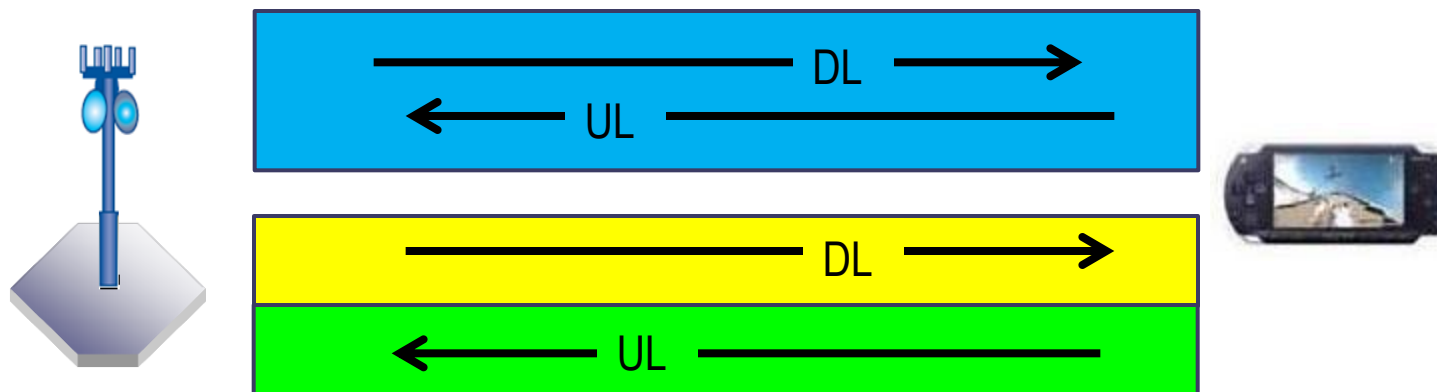


|                 |      |     |     |    |      |     |     |
|-----------------|------|-----|-----|----|------|-----|-----|
| Channel bw      | 1.4  | 3   | 5   | 10 | 15   | 20  | MHz |
| Transmission bw | 1.08 | 2.7 | 4.5 | 9  | 13.5 | 18  |     |
| # RBs per slot  | 6    | 15  | 25  | 50 | 75   | 100 |     |

## FDD vs. TDD

- FDD (frequency division duplex)
  - Paired channels
- TDD (time division duplex)
  - Single frequency channel for uplink and downlink
  - Is more flexible than FDD in its proportioning of uplink vs. downlink bandwidth utilization
  - Can ease spectrum allocation issues

**TD-LTE**



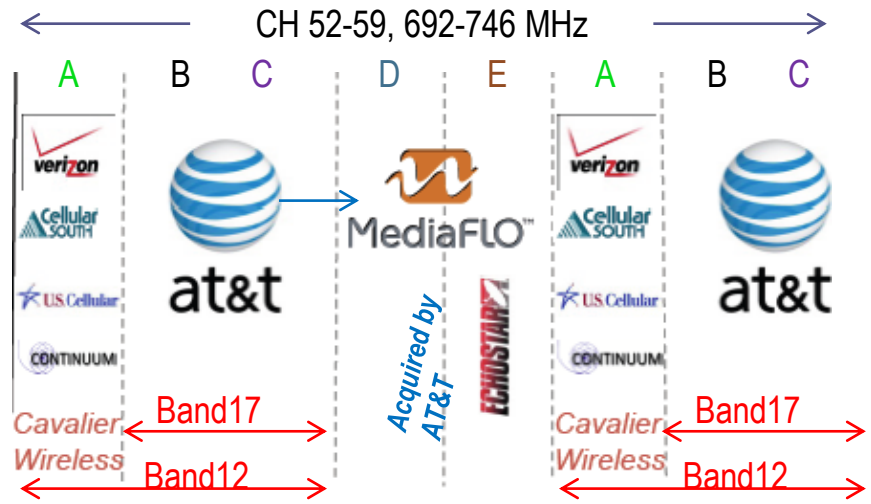
## LTE Frequency Bands - FDD

Source: 3GPP TS 36.104; V10.1.0 (2010-12)

| Band | Uplink (UL)         | Downlink (DL)       | Regions                           |
|------|---------------------|---------------------|-----------------------------------|
| 1    | 1920 -1980 MHz      | 2110 - 2170 MHz     | Europe, Asia                      |
| 2    | 1850 -1910 MHz      | 1930 - 1990 MHz     | Americas, Asia                    |
| 3    | 1710 -1785 MHz      | 1805 -1880 MHz      | Europe, Asia, Americas            |
| 4    | 1710 -1755 MHz      | 2110 - 2155 MHz     | Americas                          |
| 5    | 824-849 MHz         | 869 - 894 MHz       | Americas                          |
| 6    | 830 - 840 MHz       | 875 - 885 MHz       | Japan                             |
| 7    | 2500 - 2570 MHz     | 2620 - 2690 MHz     | Europe, Asia                      |
| 8    | 880 - 915 MHz       | 925 - 960 MHz       | Europe, Asia                      |
| 9    | 1749.9 - 1784.9 MHz | 1844.9 - 1879.9 MHz | Japan                             |
| 10   | 1710 -1770 MHz      | 2110 - 2170 MHz     | Americas                          |
| 11   | 1427.9 - 1452.9 MHz | 1475.9 - 1500.9 MHz | Japan                             |
| 12   | 698 - 716 MHz       | 728 - 746 MHz       | Americas                          |
| 13   | 777 - 787 MHz       | 746 - 756 MHz       | Americas (Verizon)                |
| 14   | 788 - 798 MHz       | 758 - 768 MHz       | Americas (D-Block, public safety) |
| 17   | 704 - 716 MHz       | 734 - 746 MHz       | Americas (AT&T)                   |
| 18   | 815 – 830 MHz       | 860 – 875 MHz       |                                   |
| 19   | 830 – 845 MHz       | 875 – 890 MHz       |                                   |
| 20   | 832 – 862 MHz       | 791 – 821 MHz       |                                   |
| 21   | 1447.9 – 1462.9 MHz | 1495.9 – 1510.9 MHz |                                   |



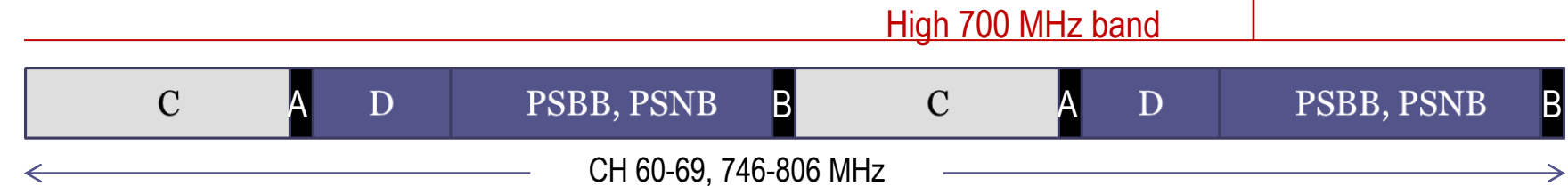
# UHF Spectrum, Including White Space Bands



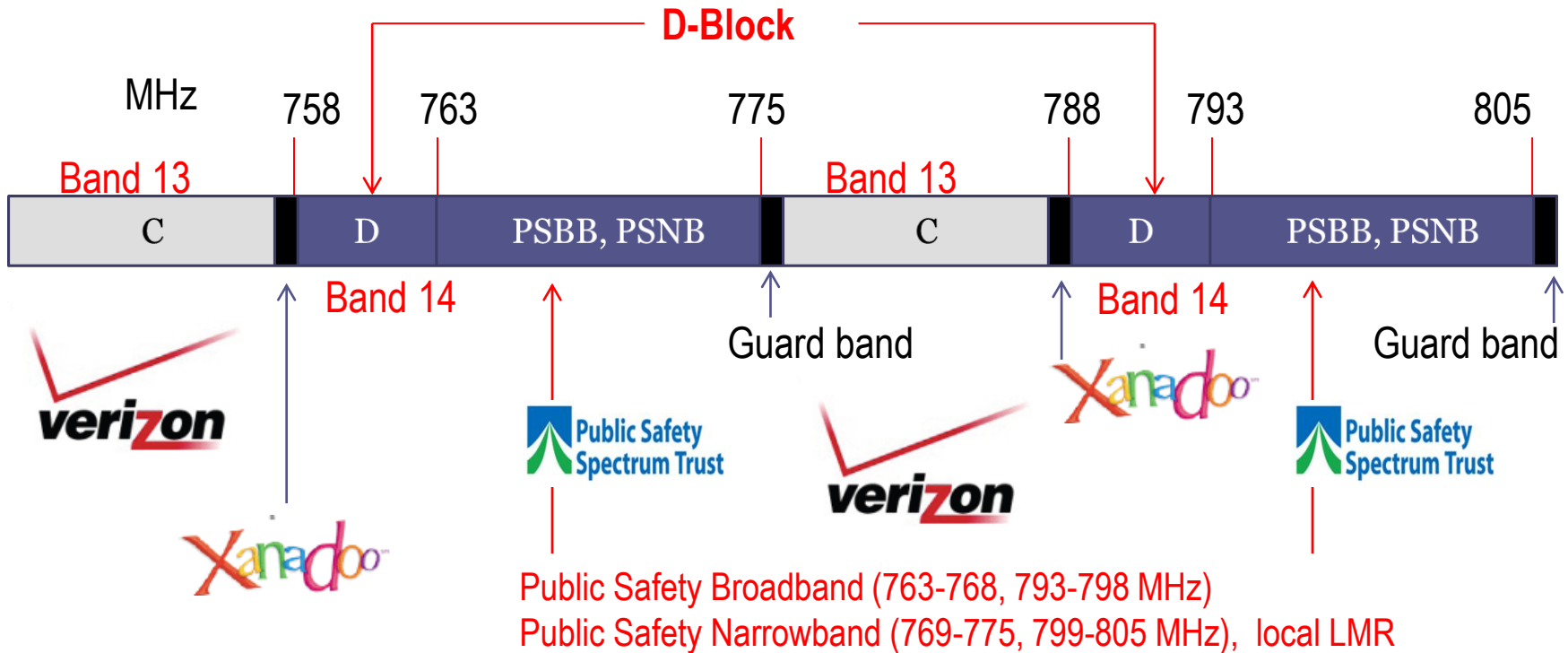
US (FCC) White Spaces  
54-72, 76-88, 174-216, 470-692 MHz



European (ECC) White Spaces (470-790 MHz)



# High 700 MHz Band



# TV Channels and White Space Allocation

## US – FCC

|                  | Channel # | Frequency Band |     |
|------------------|-----------|----------------|-----|
| Fixed TVBDs only | 2-4       | 54-72 MHz      | VHF |
|                  | 5-6       | 76-88 MHz      |     |
|                  | 7-13      | 174-216 MHz    |     |
| White Spaces     | 14-20     | 470-512 MHz**  | UHF |
|                  | 21-51*    | 512-692 MHz    |     |

\*Channel 37 (608-614 MHz) is reserved for radio astronomy  
\*\*Shared with public safety

Transition from NTSC to ATSC (analog to digital TV) in June 12, 2009 freed up channels 52-69 (above 692 MHz)

<http://www.fcc.gov/mb/engineering/usallochrt.pdf>

## Europe – ECC

|              | Channel # | Frequency Band |     |
|--------------|-----------|----------------|-----|
| White Spaces | 5-12      | 174-230 MHz    | VHF |
|              | 21-60     | 470-790 MHz    | UHF |
|              | 61-69     | 790-862 MHz    |     |

## LTE Frequency Bands - TDD

## TD-LTE

| Band | UL and DL       | Regions                  |
|------|-----------------|--------------------------|
| 33   | 1900 - 1920 MHz | Europe, Asia (not Japan) |
| 34   | 2010 - 2025 MHz | Europe, Asia             |
| 35   | 1850 - 1910 MHz |                          |
| 36   | 1930 - 1990 MHz |                          |
| 37   | 1910 - 1930 MHz |                          |
| 38   | 2570 - 2620 MHz | Europe                   |
| 39   | 1880 - 1920 MHz | China                    |
| 40   | 2300 – 2400 MHz | Europe, Asia             |
| 41   | 2496 – 2690 MHz | Americas (Clearwire LTE) |
| 42   | 3400 – 3600 MHz |                          |
| 43   | 3600 – 3800 MHz |                          |

Source: 3GPP TS 36.104; V10.1.0 (2010-12)

## WiMAX Frequency Bands - TDD

| Band Class | (GHz)<br>BW (MHZ)               | Bandwidth Certification Group Code (BCG) |
|------------|---------------------------------|------------------------------------------|
| <b>1</b>   | <b>2.3-2.4</b>                  |                                          |
|            | 8.75                            | 1.A                                      |
|            | 5 AND 10                        | 1.B                                      |
| <b>2</b>   | <b>2.305-2.320, 2.345-2.360</b> |                                          |
|            | 3.5                             | 2.A (Obsolete, replaced by 2.D)          |
|            | 5                               | 2.B (Obsolete, replaced by 2.D)          |
|            | 10                              | 2.C (Obsolete, replaced by 2.D)          |
|            | 3.5 AND 5 AND 10                | 2.D                                      |
| <b>3</b>   | <b>2.496-2.69</b>               |                                          |
|            | 5 AND 10                        | 3.A                                      |
| <b>4</b>   | <b>3.3-3.4</b>                  |                                          |
|            | 5                               | 4.A                                      |
|            | 7                               | 4.B                                      |
|            | 10                              | 4.C                                      |
| <b>5</b>   | <b>3.4-3.8</b>                  |                                          |
|            | 5                               | 5.A                                      |
|            | 7                               | 5.B                                      |
|            | 10                              | 5.C                                      |
| <b>7</b>   | <b>0.698-0.862</b>              |                                          |
|            | 5 AND 7 AND 10                  | 7.A                                      |
|            | 8 MHz                           | 7.F                                      |

WiMAX Forum  
Mobile  
Certification Profile  
v1.1.0

A universal frequency step size of 250 KHz is recommended for all band classes, while 200 KHz step size is also recommended for band class 3 in Europe.

# WiMAX Frequency Bands - FDD

| Band Class | (GHz)BW (MHZ)                                         | Duplexing Mode BS | Duplexing Mode MS   | MS Transmit Band (MHz)                        | BS Transmit Band (MHz)                        | Bandwidth Certification Group Code |
|------------|-------------------------------------------------------|-------------------|---------------------|-----------------------------------------------|-----------------------------------------------|------------------------------------|
| <b>2</b>   | <b>2.305-2.320, 2.345-2.360</b>                       |                   |                     |                                               |                                               |                                    |
|            | 2x3.5 AND 2x5 AND 2x10                                | FDD               | HFDD                | 2345-2360                                     | 2305-2320                                     | 2.E**                              |
|            | 5 UL, 10 DL                                           | FDD               | HFDD                | 2345-2360                                     | 2305-2320                                     | 2.F**                              |
| <b>3</b>   | <b>2.496-2.690</b>                                    |                   |                     |                                               |                                               |                                    |
|            | 2x5 AND 2x10                                          | FDD               | HFDD                | 2496-2572                                     | 2614-2690                                     | 3.B                                |
| <b>5</b>   | <b>3.4-3.8</b>                                        |                   |                     |                                               |                                               |                                    |
|            | 2x5 AND 2x7 AND 2x10                                  | FDD               | HFDD                | 3400-3500                                     | 3500-3600                                     | 5.D                                |
| <b>6</b>   | <b>1.710-2.170 FDD</b>                                |                   |                     |                                               |                                               |                                    |
|            | 2x5 AND 2x10                                          | FDD               | HFDD                | 1710-1770                                     | 2110-2170                                     | 6.A                                |
|            | 2x5 AND 2x10 AND<br>Optional 2x20 MHz                 | FDD               | HFDD                | 1920-1980                                     | 2110-2170                                     | 6.B                                |
|            | 2x5 AND 2x10 MHz                                      | FDD               | HFDD                | 1710-1785                                     | 1805-1880                                     | 6.C                                |
| <b>7</b>   | <b>0.698-0.960</b>                                    |                   |                     |                                               |                                               |                                    |
|            | 2x5 AND 2x10                                          | FDD               | HFDD                | 776-787                                       | 746-757                                       | 7.B                                |
|            | 2x5                                                   | FDD               | HFDD                | 788-793 AND 793-798                           | 758-763 AND 763-768                           | 7.C                                |
|            | 2x10                                                  | FDD               | HFDD                | 788-798                                       | 758-768                                       | 7.D                                |
|            | 5 AND 7 AND 10 (TDD),<br>2x5 AND 2x7 AND 2x10 (H-FDD) | TDD or FDD        | Dual Mode TDD/H-FDD | 698-862                                       | 698-862                                       | 7.E*                               |
|            | 2x5 AND 2x10 MHz                                      | FDD               | HFDD                | 880-915                                       | 925-960                                       | 7.G                                |
| <b>8</b>   | <b>1.710-2.170 TDD</b>                                |                   |                     |                                               |                                               |                                    |
|            | 5 AND 10                                              | TDD               | TDD                 | 1785-1805, 1880-1920,<br>1910-1930, 2010-2025 | 1785-1805, 1880-1920,<br>1910-1930, 2010-2025 | 8.A                                |

# Unlicensed Bands and Services

TVB

| Frequency range        | Bandwidth | Band               | Notes                                                   |
|------------------------|-----------|--------------------|---------------------------------------------------------|
| 433.05 – 434.79 MHz    | 1.74 MHz  | ISM                | Europe                                                  |
| 420–450 MHz            | 30 MHz    | Amateur            | US                                                      |
| 868-870 MHz            | 2 MHz     | ISM                | Europe                                                  |
| 902–928 MHz            | 26 MHz    | ISM-900            | Region 2                                                |
| 2.4–2.5 GHz            | 100 MHz   | ISM-2400           | International allocations (see slides 7, 8 for details) |
| 5.15–5.35 GHz          | 200 MHz   | UNII-1,2           |                                                         |
| 5.47–5.725 GHz         | 255 MHz   | UNII-2 ext.        |                                                         |
| 5.725–5.875 GHz        | 150 MHz   | ISM-5800<br>UNII-3 |                                                         |
| 24–24.25 GHz           | 250 MHz   | ISM                | US, Europe                                              |
| 57-64 GHz<br>59-66 GHz | 7 GHz     | ISM                | US<br>Europe                                            |

Medical devices  
Remote control

RFID and other unlicensed services

Smart meters, remote control, baby monitors, cordless phones

802.11b/g/n, Bluetooth  
802.15.4 (Bluetooth, ZigBee), cordless phones

802.11a/n, cordless phones

Emerging 802.11ad  
802.15.3c, ECMA-387  
WirelessHD

Americas, including US and Canada; Australia, Israel

ISM = industrial, scientific and medical  
UNII = unlicensed national information infrastructure

European analog of the ISM-900 band

# Unlicensed Bands and Services

IEEE 802.11 (Wi-Fi) operates in the ISM-2400 and ISM-5800 bands and in the 5800 UNII band; recently standardized for 3650-3700 contention band

IEEE 802.16 (WiMAX) operates in the UNII/ISM band and in the 3500-3700 MHz contention band

902-928 MHz  
ISM band  
ZigBee,  
proprietary

2400-2500 MHz  
ISM band  
Wi-Fi, ZigBee,  
Bluetooth

3650 - 3700  
Contention band  
Wi-Fi, WiMAX

5150 - 5350  
5470 - 5725  
UNII Band  
Wi-Fi

5725 - 5825  
UNII/ISM Band  
Wi-Fi, WiMAX



## Ultra Wide Band (UWB)

Most UWB products operate here

3100

4900

10,600

UWB based WiMedia is a short-range network operating in the noise floor of other services

ISM-900 traditionally used for consumer devices such as cordless phones, garage openers and baby monitors, now also used on smart meters

Cordless phones

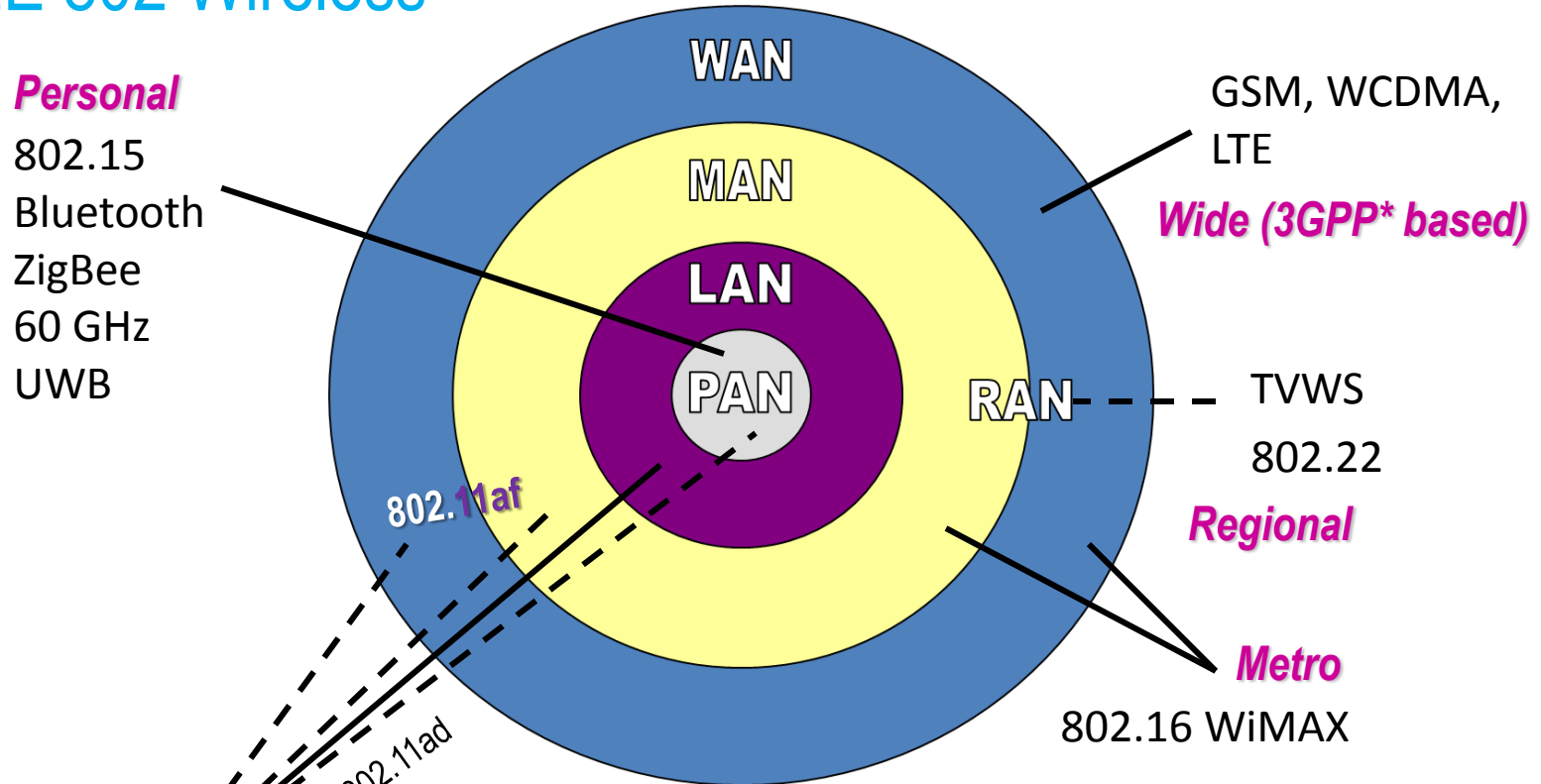
Standards-based

proprietary

FCC spectrum allocation chart  
<http://www.ntia.doc.gov/osmhome/allochrt.PDF>



# IEEE 802 Wireless



**Personal**  
802.15  
Bluetooth  
ZigBee  
60 GHz  
UWB

GSM, WCDMA,  
LTE  
**Wide (3GPP\* based)**

TVWS  
802.22  
**Regional**

**Metro**  
802.16 WiMAX

802.11  
Wi-Fi  
**Local**

LAN = local area networking  
PAN = personal area networking  
MAN = metropolitan area networking  
WAN = wide area networking  
RAN = regional area networking  
TVWS = television white spaces  
3GPP = 3<sup>rd</sup> generation partnership project

## Growing WLAN Market

- Worldwide wireless LAN market reached record revenues in 2010, growing 25% to surpass \$5 billion
  - SOHO segment >\$3 billion in revenues
  - Enterprise segment >\$2 billion; 7 straight quarters of revenue growth
- Alcatel-Lucent, Aruba, Belkin, Netgear, Tropos, and ZyXel each posted full-year 2010 WLAN revenue growth >40%
- Source: Dell'Oro Group
  - [http://www.fiercetelecom.com/press\\_releases/wireless-lan-market-reached-record-level-2010-revenues-jump-25-percent-acco](http://www.fiercetelecom.com/press_releases/wireless-lan-market-reached-record-level-2010-revenues-jump-25-percent-acco)

## IEEE 802.11 Active Task Groups



<http://grouper.ieee.org/groups/802/11>

- **TGmb** – Maintenance
- **TGs** – Mesh networking
- **TGv** – Wireless network management
- **TGaa** – Robust streaming of AV Transport Streams
- **TGac** – VHTL6 (very high throughput < 6 GHz)
- **TGad** – VHT 60 GHz
- **TGae** – Prioritization of management frames
- **TGaf** – TV Band operation
- **TGah** – Sub 1 GHz
- **TGai** – Fast initialization
- **Smart Grid SG** – smart grid
- **WNG SC** – Wireless Next Generation

TG = task group

## 802.11 Past Task Groups

- ✓ **TGma** – Maintenance
- ✓ **TGa** – 5 GHz OFDM PHY
- ✓ **TGb** – 2.4 GHz 11 Mbps; DSSS PHY
- ✓ **TGc** – Bridging (part of 802.1)
- ✓ **TGd** – Additional regulatory domains
- ✓ **TGe** – Quality of Service
- ✓ **TGf** – Inter-AP protocol
- ✓ **TGg** – 2.4 GHz OFDM PHY
- ✓ **TGh** – Radar avoidance (DFS, TPC)
- ✓ **TGi** – Security
- ✓ **TGk** – Radio Resource Measurements
- ✓ **TGn** – High Throughput; MIMO
- ✓ **TGp** – Vehicular ITS networks (WAVE/DSRC)
- ✓ **TGr** – Fast Roaming
- ✓ **TGT** – IEEE 802 Performance
- ✓ **TGu** – InterWorking with External Networks
- ✓ **TGw** – Protected Management Frames
- ✓ **TGy** – 3650-3700 MHz Operation in US
- ✓ **TGz** – Direct Link Setup

OFDM = orthogonal frequency division multiplexing

DSSS = direct sequence spread spectrum

DSRC = dedicated short range communications

WAVE = wireless access vehicular environment

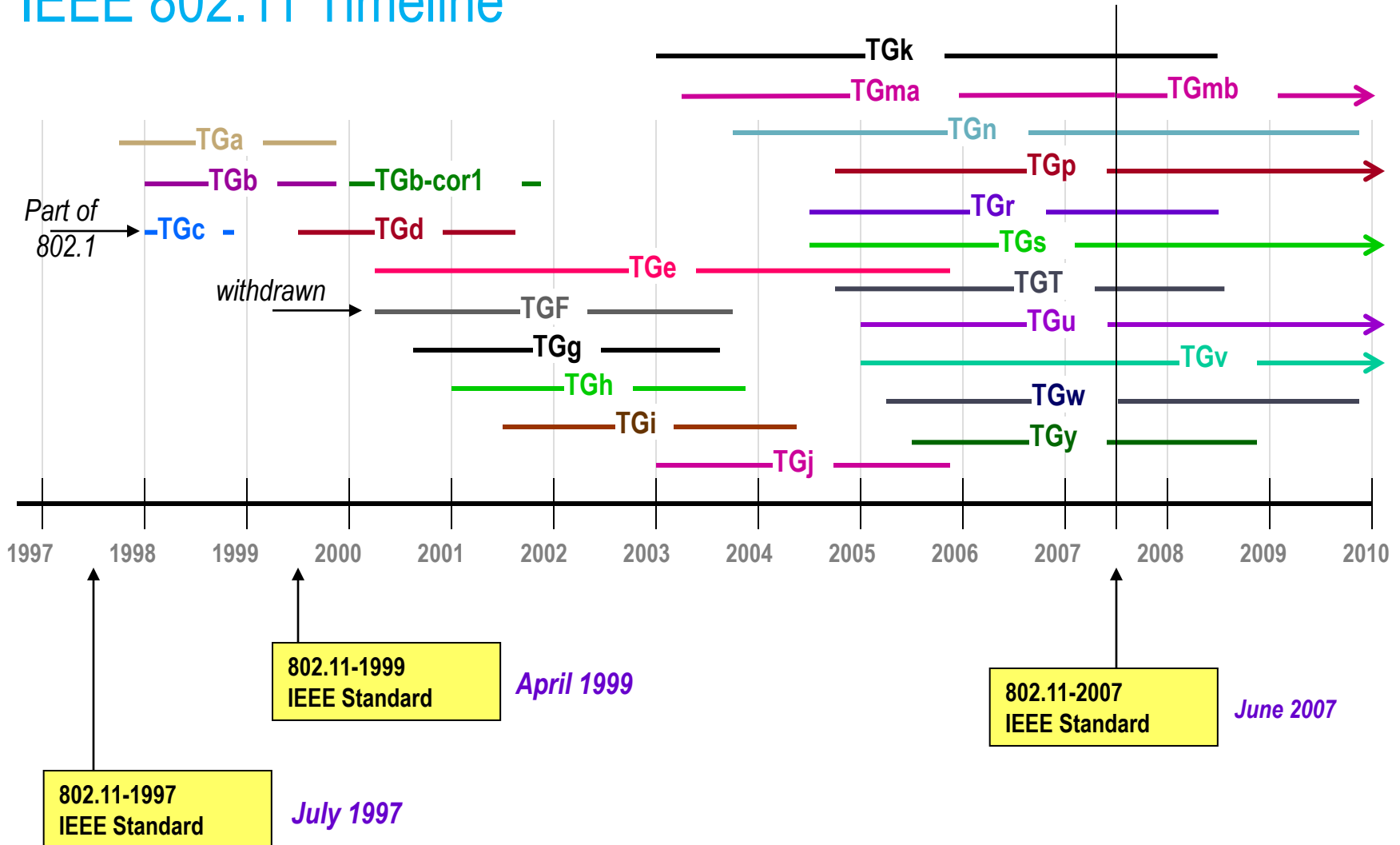
ITS = intelligent transportation systems

MIMO = multiple input multiple output

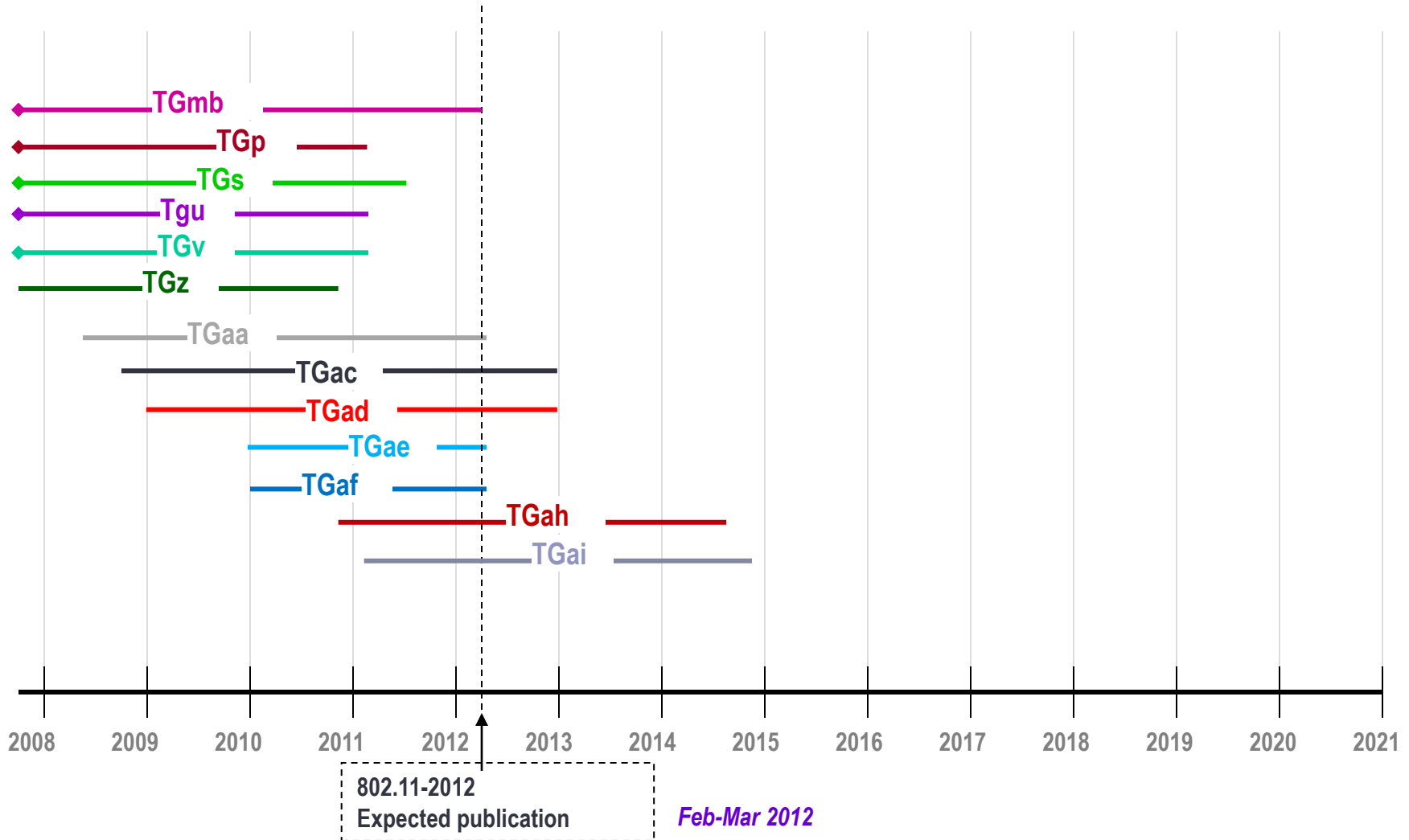
DFS = dynamic frequency selection

TPC = transmit power control

# IEEE 802.11 Timeline



# IEEE 802.11 Timeline (continued)



## Outline

- IEEE 802 wireless background
- HT - High Throughput (802.11n)
- VHT - Very High Throughput (802.11ac/ad)
- White Space (802.11af)
- Under 1 GHz (802.11ah)
- Streaming of AV transport streams (802.11aa)
- Fast initialization (802.11ai)
- Smart Grid SG (Study Group)
- Regulatory Ad Hoc

## 802.11n Throughput Enhancements

| 802.11n throughput enhancement         | Description                                                                                                                                  | Throughput enhancement over legacy |
|----------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------|
| <b>Spatial multiplexing</b>            | With 2 spatial streams throughput can be double that of a single stream.                                                                     | 100%                               |
| <b>40 MHz channel width</b>            | Doubling the channel width over the legacy 20 MHz channel can double the throughput.                                                         | 100%                               |
| <b>More efficient OFDM</b>             | With 52 data sub-carriers vs. 48 for the legacy networks, the highest data rate per stream is 65 Mbps vs. the 802.11a/g 54 Mbps              | 20%                                |
| <b>Shorter GI (guard interval)</b>     | The short GI of 400 ns allowed by 802.11n reduces the symbol time from 4 microseconds to 3.6 microseconds increasing the symbol rate by 10%. | 10%                                |
| <b>Frame aggregation and Block ACK</b> | 64k bytes A-MPDU; 8k bytes A-MSDU                                                                                                            | Up to 100%                         |

A-MPDU = Aggregated Mac Protocol Data Unit

A-MSDU = Aggregated Mac Service Data Unit

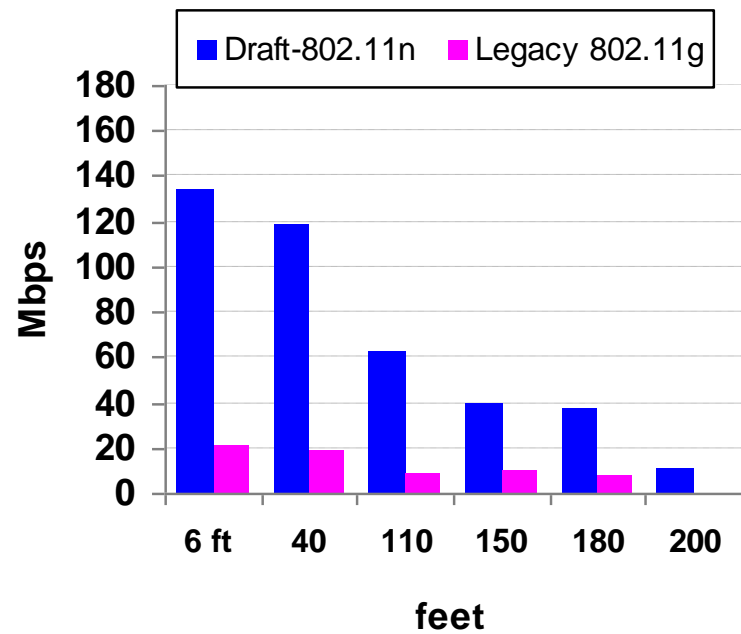


# IEEE 802.11a,b,g,n Data Rates

|                                                     | 20 MHz Channel                                       |                                                             |                                                       |                                                                  | 40 MHz Channel                                   |                                              |                                                      |                                                       |
|-----------------------------------------------------|------------------------------------------------------|-------------------------------------------------------------|-------------------------------------------------------|------------------------------------------------------------------|--------------------------------------------------|----------------------------------------------|------------------------------------------------------|-------------------------------------------------------|
|                                                     | 1 stream                                             | 2 streams                                                   | 3 streams                                             | 4 streams                                                        | 1 stream                                         | 2 streams                                    | 3 streams                                            | 4 streams                                             |
|                                                     | Data Rate, in Mbps                                   |                                                             |                                                       |                                                                  |                                                  |                                              |                                                      |                                                       |
| <b>802.11b</b><br>2.4 GHz                           | 1, 2, 5.5,<br>11                                     |                                                             |                                                       |                                                                  |                                                  |                                              |                                                      |                                                       |
| <b>802.11a</b><br>5 GHz                             | 6, 9, 12,<br>18, 24, 36,<br>48, 54                   |                                                             |                                                       |                                                                  | <b>Top rate commercially<br/>available today</b> |                                              |                                                      |                                                       |
| <b>802.11g</b><br>2.4 GHz                           | 1, 2, 6, 9,<br>12, 18, 24,<br>36, 48, 54             |                                                             |                                                       |                                                                  |                                                  |                                              |                                                      |                                                       |
| <b>802.11n</b><br>2.4 and<br>5 GHz                  | 6.5, 13,<br>19.5, 26,<br>39, 52,<br>58.5, 65         | 13, 26, 39,<br>52, 78,<br>104, 117,<br>130                  | 19.5, 39,<br>58.5, 78,<br>117, 156,<br>175.5, 195     | 26, 52, 78,<br>104, 156,<br>208, 234,<br>260                     | 13.5, 27,<br>40.5, 54,<br>81, 108,<br>121.5, 135 | 27, 54, 81,<br>108, 162,<br>216, 243,<br>270 | 40.5, 81,<br>121.5, 162,<br>243, 324,<br>364.5, 405  | 54, 108,<br>162, 216,<br>324, 432,<br>486, 540        |
| <b>802.11n, SGI<br/>enabled</b><br>2.4 and<br>5 GHz | 7.2, 14.4,<br>21.7, 28.9,<br>43.3, 57.8,<br>65, 72.2 | 14.4, 28.9,<br>43.3, 57.8,<br>86.7,<br>115.6, 130,<br>144.4 | 21.7, 43.3,<br>65, 86.7,<br>130, 173.3,<br>195, 216.7 | 28.9, 57.8,<br>86.7,<br>115.6,<br>173.3,<br>231.1, 260,<br>288.9 | 15, 30, 45,<br>60, 90,<br>120, 135,<br>150       | 30, 60, 90,<br>120, 180,<br>240, 270,<br>300 | 45, 90,<br>135, 180,<br>270, 360,<br>405, <b>450</b> | 60, 120,<br>180, 240,<br>360, 480,<br>540, <b>600</b> |

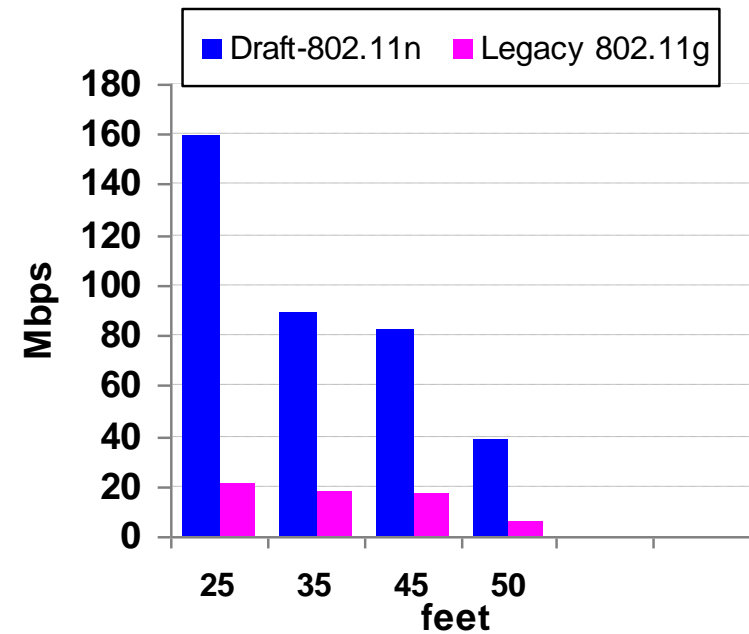
# MIMO vs. SISO Throughput Performance

Draft 802.11n vs. Legacy - Office



*Vendor 1*

Draft 802.11n vs. Legacy - Home



*Vendor 2*

## Outline

- IEEE 802 wireless background
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## IEEE 802.11 Very High Throughput

- The goal of the 802.11 VHT effort is to achieve 1 Gbps throughput at nomadic (walking speeds) to support HD video transmission and high speed data applications and to satisfy the IMT-Advanced requirements
- The work is ongoing at TGac and TGad
- TGac
  - Under 6 GHz (2.4 and 5 GHz bands)
- TGad
  - 60 GHz band
  - Capitalize on work already done by 802.15.3c in the 60 GHz band

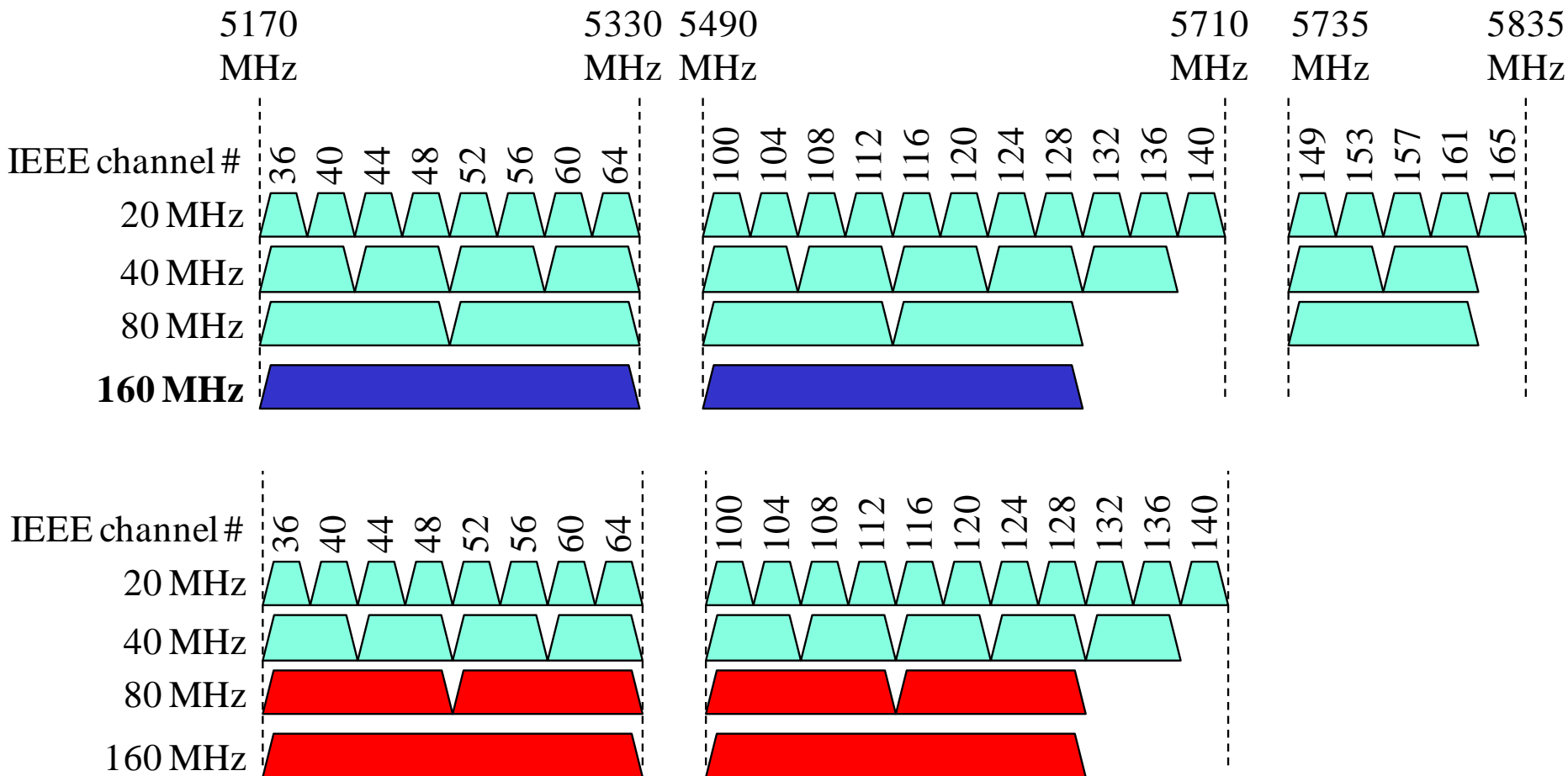


## TGac

- Up to 6.9 Gbps of PHY data rate (draft 0.1)
- Higher order MIMO ( $> 4 \times 4$ )
- 8 spatial streams
- Multi-user (MU) MIMO
  - Up to 4 users; up to 4 streams per user
- Higher bandwidth channels (20, 40, 80, 80+80 and 160 MHz)

OFDMA = orthogonal frequency division multiple access

# TGac Channels – US Region



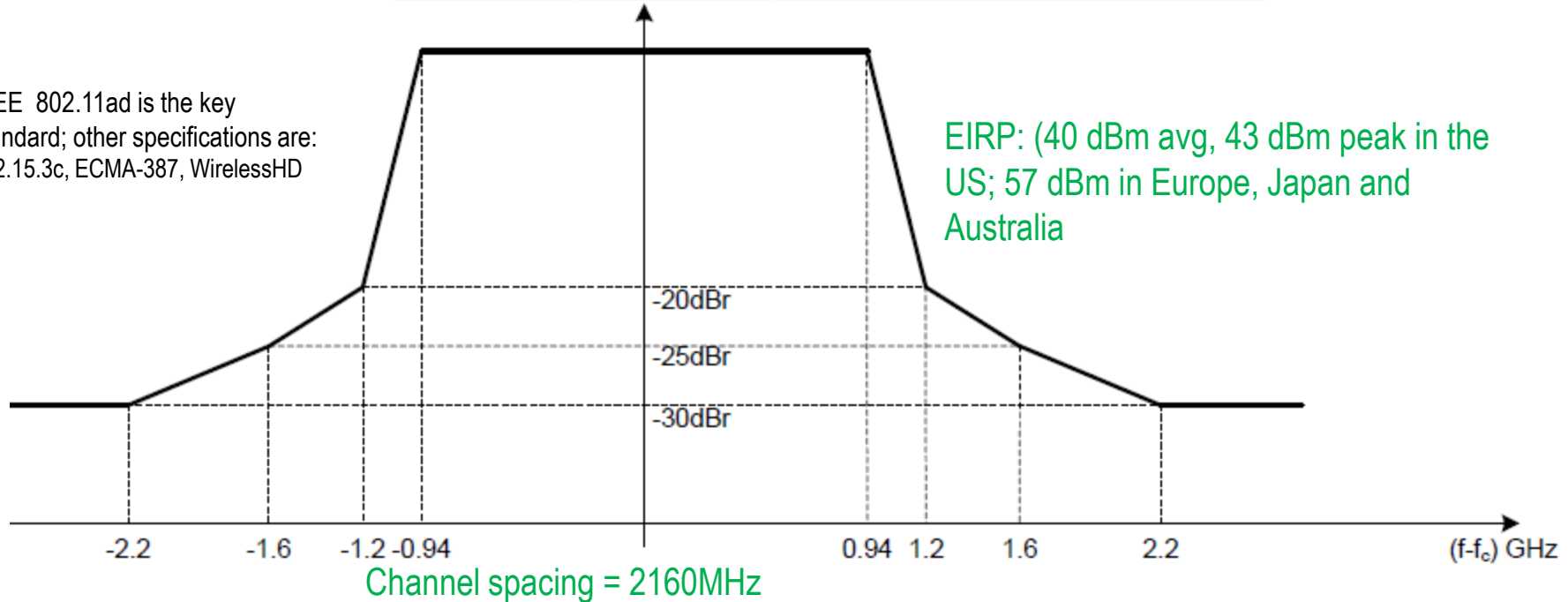
# 802.11ad 60 GHz Frequency Bands

Channel 2  
must be  
supported

| Channel | $f_c$ (GHz) | Country                  |
|---------|-------------|--------------------------|
| 1       | 58.32       | US                       |
| 2       | 60.48       | US, Japan, EU, Australia |
| 3       | 62.64       | US, Japan, EU            |
| 4       | 64.80       | Japan, EU                |

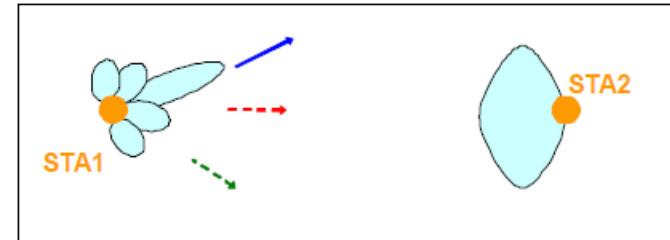
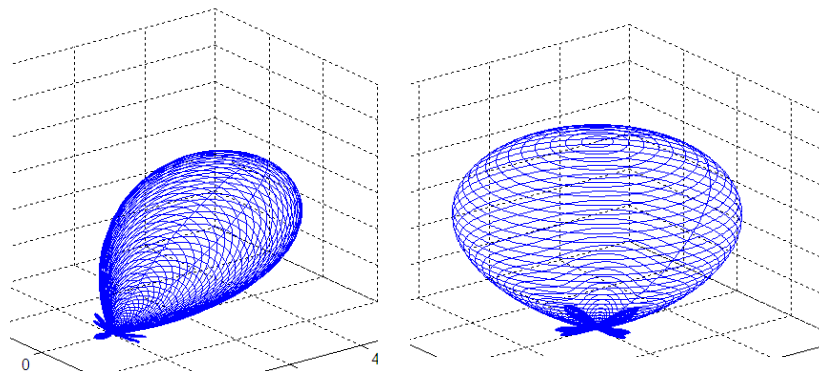
Up to 6.8 Gbps of  
PHY throughput  
(draft 1.2)

IEEE 802.11ad is the key  
standard; other specifications are:  
802.15.3c, ECMA-387, WirelessHD

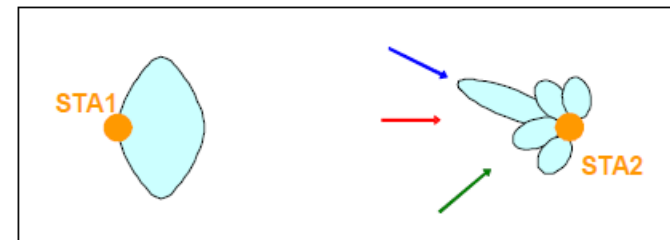


## 802.11ad Beam Steering

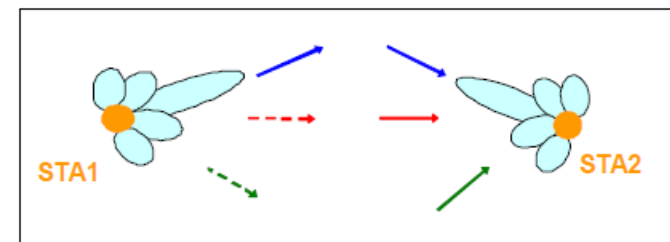
- Beam steering, central to 802.11ad, optimizes the range by focusing the energy between transmitting and receiving nodes
  - Involves two-way channel sounding, sector sweeping and beamforming to make optimum use of a lossy 60 GHz channel



(a) I-TXSS in SLS



(b) I-MID



(c) I-BC (Beam Combining)



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## TV Band Spectrum Utilization

- Spectrum under 3 GHz has significant unused capacity
  - Average occupancy over various locations studied is 5.2% and the maximum occupancy is 13.1% (in New York City)
  - Shared Spectrum Company, NSF funded measurements, 9/2009  
<http://www.sharespectrum.com/measurements>
- Only 8% of Americans receive broadcast TV
  - Consumer Electronics Association (CEA) survey, 2011
  - [http://www.cesweb.org/shared\\_files/ECD-TOC/CEACordCuttingAnalysis.pdf](http://www.cesweb.org/shared_files/ECD-TOC/CEACordCuttingAnalysis.pdf)
- The economic potential for the TV white spaces was estimated at \$100 billion
  - R. Thanki, “The economic value generated by current and future allocations of unlicensed spectrum”, 9/2009 [http://www.ingeniousmedia.co.uk/websitefiles/Value\\_of\\_unlicensed\\_-\\_website\\_-\\_FINAL.pdf](http://www.ingeniousmedia.co.uk/websitefiles/Value_of_unlicensed_-_website_-_FINAL.pdf)



## White Spaces – Brief History

- NPRM in May 2004
  - [http://hraunfoss.fcc.gov/edocs\\_public/attachmatch/FCC-04-113A1.pdf](http://hraunfoss.fcc.gov/edocs_public/attachmatch/FCC-04-113A1.pdf)
- November 4, 2008 FCC approved Report & Order 08-260, allowing unlicensed use of TV band spectrum
  - [http://hraunfoss.fcc.gov/edocs\\_public/attachmatch/DA-01-260A1.pdf](http://hraunfoss.fcc.gov/edocs_public/attachmatch/DA-01-260A1.pdf)
- February 17, 2009, the FCC released the final rules for “Unlicensed Operation in the TV Broadcast Bands”
  - <http://edocket.access.gpo.gov/2009/pdf/E9-3279.pdf>
- Sep 23, 2010 The FCC reaffirmed a 2008 decision to open the broadcast airwaves

### **THE WALL STREET JOURNAL.**

WSJ.com

SEPTEMBER 24, 2010

**FCC to Open Unused TV Airwaves, Extending Wi-Fi's Possibilities**

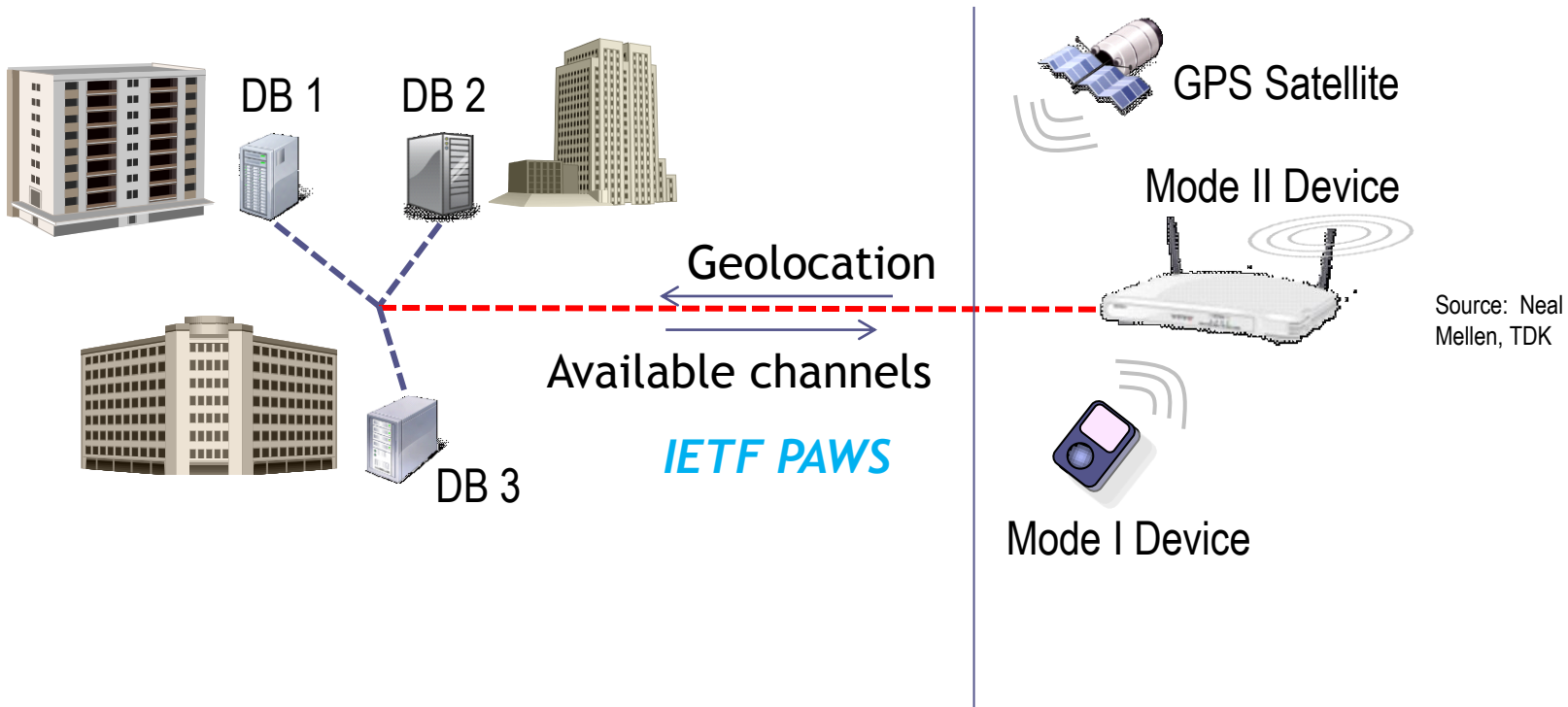
NPRM = Notice of Proposed Rule Making

## European White Space Regulation

- Ofcom (UK) is in the process of making this Digital Dividend band available
  - <https://mentor.ieee.org/802.18/dcn/09/18-09-0059-00-0000-ofcom-update-on-the-digital-dividend.ppt>
  - <http://stakeholders.ofcom.org.uk/consultations/geolocation/summary>
- ECC of CEPT in Europe has published a report on White Spaces in Jan 2011
  - <http://www.erodocdb.dk/Docs/doc98/official/pdf/ECCREP159.PDF>
- China TV band regulations expected in 2015

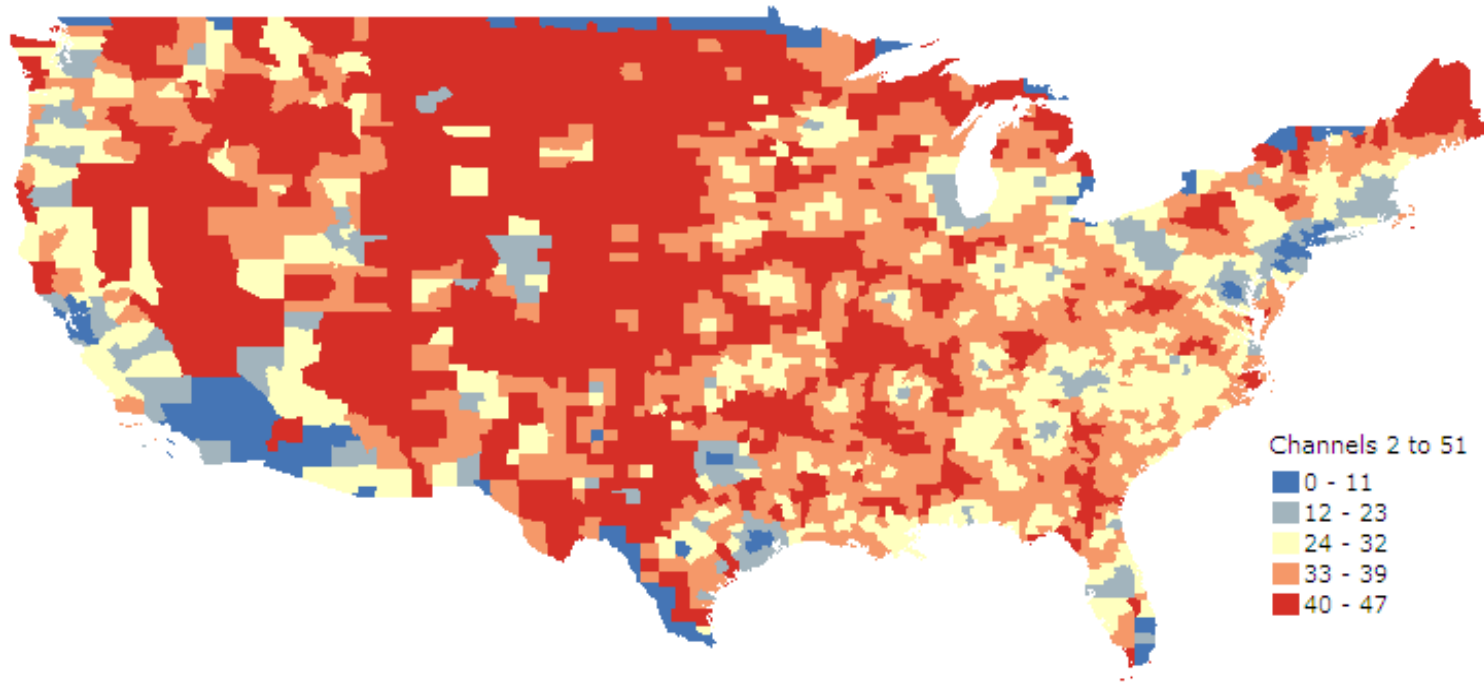
ECC = Electronic Communications Committee  
CEPT = European Conference on Postal and Telecommunications

# White Space Spectrum Access



## TV Band Channel Availability

- Channel availability based on the geolocation query of TV band internet database



Source: Rick Tornado, Spectrum Bridge

# TV Channels and White Space Allocation

## US – FCC

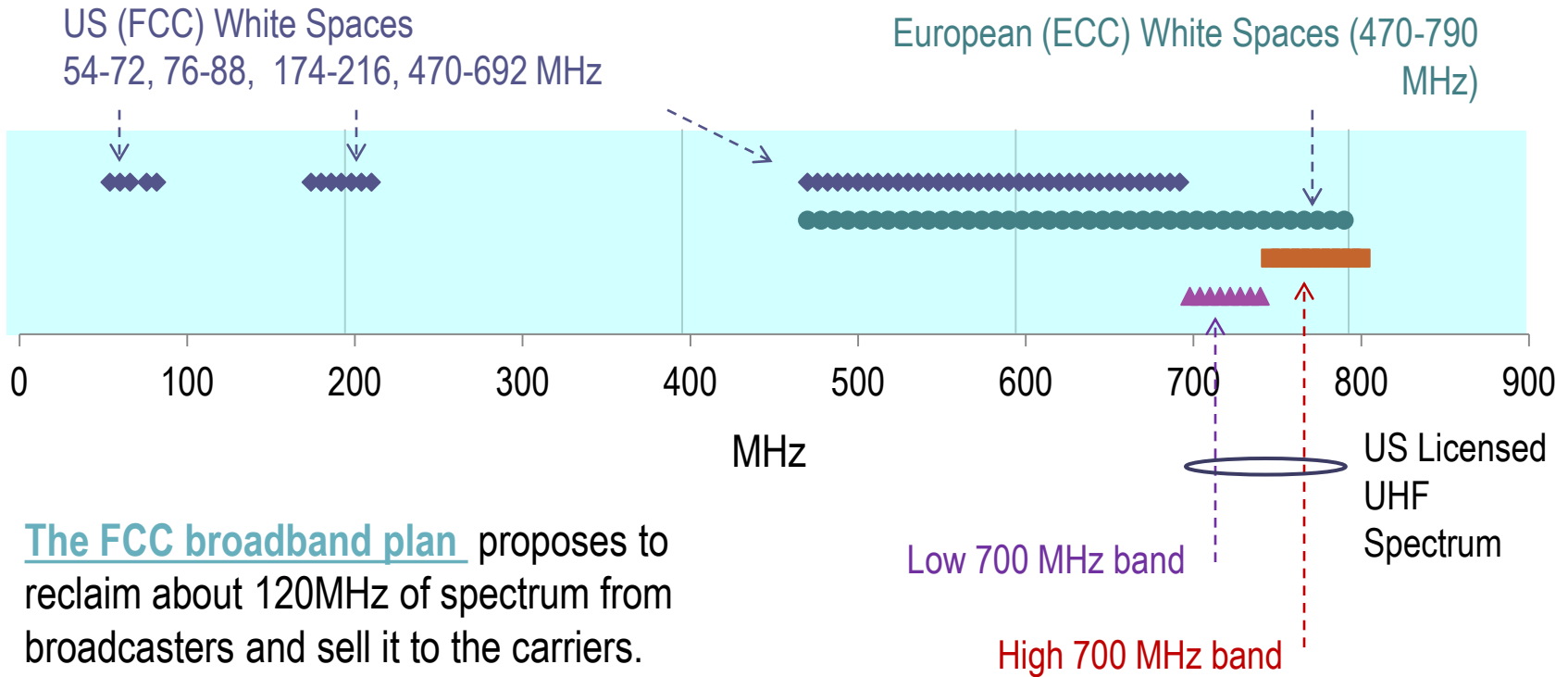
|                  | Channel # | Frequency Band |     |
|------------------|-----------|----------------|-----|
| Fixed TVBDs only | 2-4       | 54-72 MHz      | VHF |
|                  | 5-6       | 76-88 MHz      |     |
|                  | 7-13      | 174-216 MHz    |     |
| White Spaces     | 14-20     | 470-512 MHz**  | UHF |
|                  | 21-51*    | 512-692 MHz    |     |

Transition from NTSC to ATSC (analog to digital TV) June 12, 2009 freed up channels 52-69 (above 692 MHz)

## Europe – ECC

|              | Channel # | Frequency Band |     |
|--------------|-----------|----------------|-----|
| White Spaces | 5-12      | 174-230 MHz    | VHF |
|              | 21-60     | 470-790 MHz    | UHF |
|              | 61-69     | 790-862 MHz    |     |

# TV Band Spectrum



The FCC broadband plan proposes to reclaim about 120MHz of spectrum from broadcasters and sell it to the carriers.

This move may serve to discourage investment into white spaces.

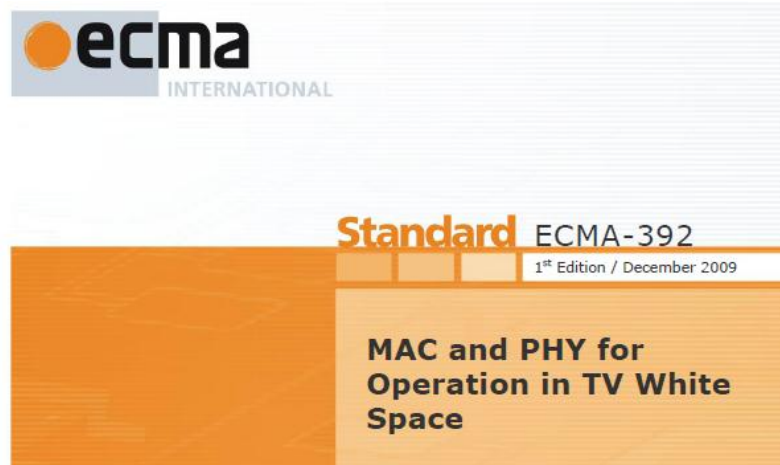


# IEEE TV Band Related Standards

- **802.11af** – formed in January 2010 to adapt 802.11 to TV band operation
- **802.16h** – originally organized to adapt 802.16 to the 3650-3700 MHz contention band now working on TV band operation of 802.16
- **802.22** – Regional Area Networks
  - Guided the FCC in the recent TV band regulations
  - Introduced spectrum sensing and location information to determine whether given transmit frequencies and power levels will cause harmful interference to licensed services
- **802.18** TAG – regulatory
- **802.19** TAG – coexistence among dissimilar networks in the TV band
- **SCC 41** – defines layers above the MAC and PHY for dynamic spectrum access networks
  - <http://grouper.ieee.org/groups/scc41/crinfo/>
  - 1900.7 is the relevant group under SCC 41

## Ecma and CogNeA TV Band Standard

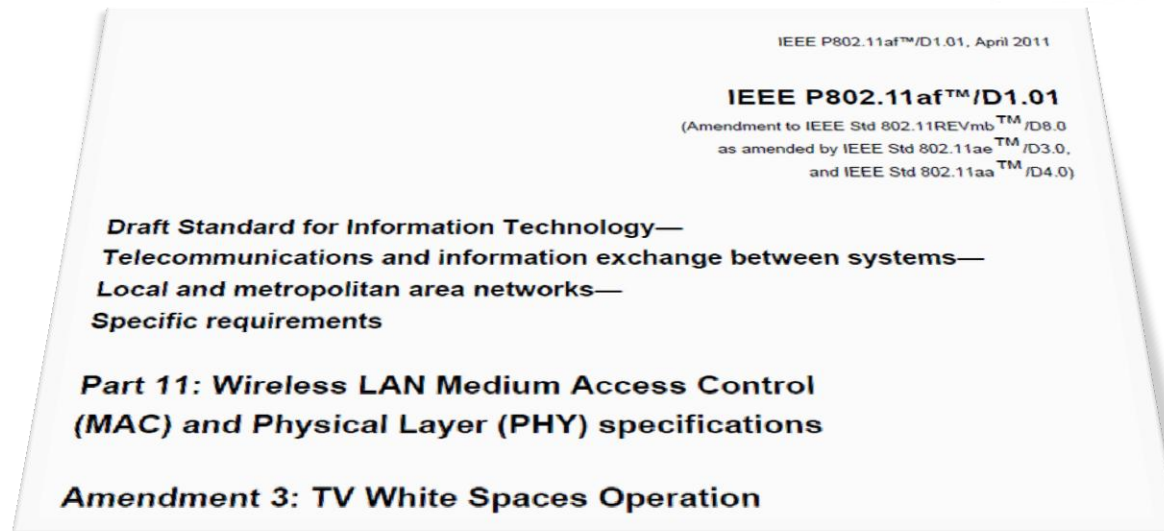
- Ecma TC48-TG1 standard for Personal/Portable devices in TV White Spaces
  - Physical (PHY) and Medium Access Control (MAC) layers including a protocol and mechanisms for coexistence
  - <http://www.ecma-international.org/publications/standards/Ecma-392.htm>
- Sponsor Organization: CogNeA (<http://www.cogneatv.org>)
  - Industry alliance formed in 2008 to develop a specification for white spaces



## 802.11af

Database is  
out of scope of  
802.11af

Being defined  
by IETF PAWS



- Re-band the popular 802.11 systems; capitalize on work already done for 802.11y and 802.11h
  - Use 5, 10, 20 and 40 MHz wide channels
  - FCC EIRP: 4 W, 100 mW, 50 mW
- Possible deployment scenarios
  - Indoor (< 100 m): like present WLAN
  - Outdoor (< 5 km): comparable to the range of typical urban model

# White Spaces Standards

## 802.11af

Most cost-effective if 802.11 chipsets support the band

Most promising IEEE White Spaces standard with 802.11 chipset vendors evaluating the business opportunity; fast moving; Wi-Fi Alliance expects to certify in 2012

## Ecma-based or proprietary

Products already announced

Proprietary implementation is already on the market (e.g. [www.carlsonwireless.com](http://www.carlsonwireless.com)) ; potential to disrupt 802.11 service since access protocol is unknown

## 802.22

Based on 802.16d Fixed WiMAX; no chipset vendors involved yet; small group dominated by broadcasters who oppose White Spaces

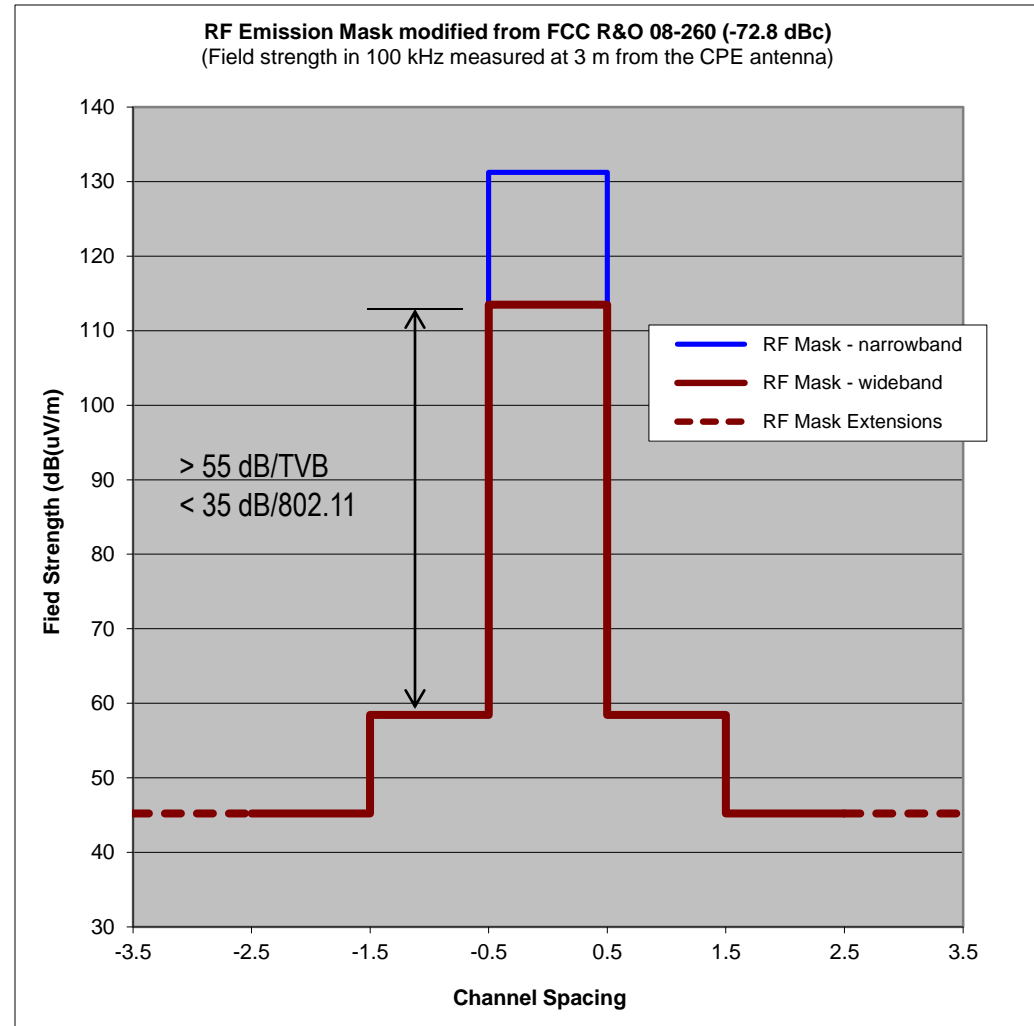
No products expected in the near-future

## SCC41

Higher layer cognitive radio algorithms, such as Dynamic Spectrum Access; academic group; no near-term products expected

## TV Band Technical Issues

- Biggest roadblock to 802.11af adaptation is the stringent spectrum mask mandated by the FCC for the TV Band
  - 20 dB more stringent than the most stringent 802.11 devices support today
- Another issue is the limit on antenna elevation, which limits the range of TV Band data service
  - Antennas no higher than 90 feet



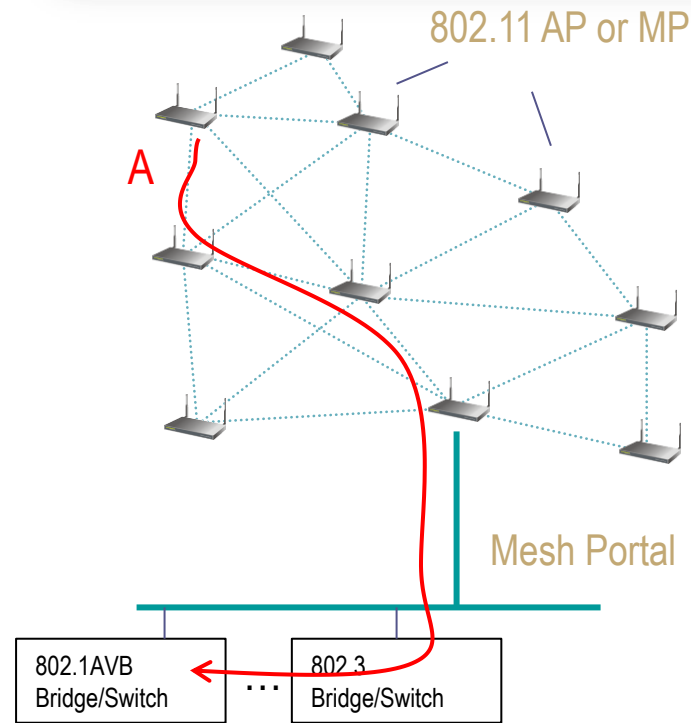
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# AV Streaming

- 802.11aa is being developed collaboratively with 802.1AVB working group (audio-visual bridging)
- MAC layer protocol to 'open-up' a passage through multiple MAC layer bridges from point A to point B in the network
- The goal is to optimize and prioritize the transport of AV streams through multiple bridging devices at the network level
  - Bridging is incorporated into wired and wireless devices, including 802.3 and 802.11
  - MAC layer bridge is built into an 802.11 AP, 802.11s MP, 802.3 switch and the emerging 802.1AVB device

1 **IEEE P802.11aa™/D3.01**  
 2 **Draft STANDARD for**  
 3 **Information Technology-**  
 4 **Telecommunications and information exchange**  
 5 **between systems-**  
 6 **Local and metropolitan area networks-**  
 7 **Specific requirements-**  
 8  
 9 **Part 11: Wireless LAN Medium Access Control**  
 10 **(MAC) and Physical Layer (PHY) specifications**  
 11  
 12 **Amendment 3: MAC Enhancements for Robust**  
 13 **Audio Video Streaming**



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## Fast Initialization

- Common use case: crowd of users trying to connect simultaneously to one AP (e.g. passengers passing in a train or getting off a train)
- Use case reference list:
  - <https://mentor.ieee.org/802.11/dcn/11/11-11-0326-01-00ai-use-case-ref-list-doc-discussion.pptx>
- Too much time currently being spent in 802.11 link set-up on functions including
  - AP/network discovery
  - Security authentication
  - Higher layer (IP address) assignment
- Biggest potential for performance improvement:
  - AP discovery & “parallelization of message exchanges” for higher layer protocols
  - Security: pre-conditions for security scheme
- This is likely to result in microcode upgrades only – no new silicon

## Outline

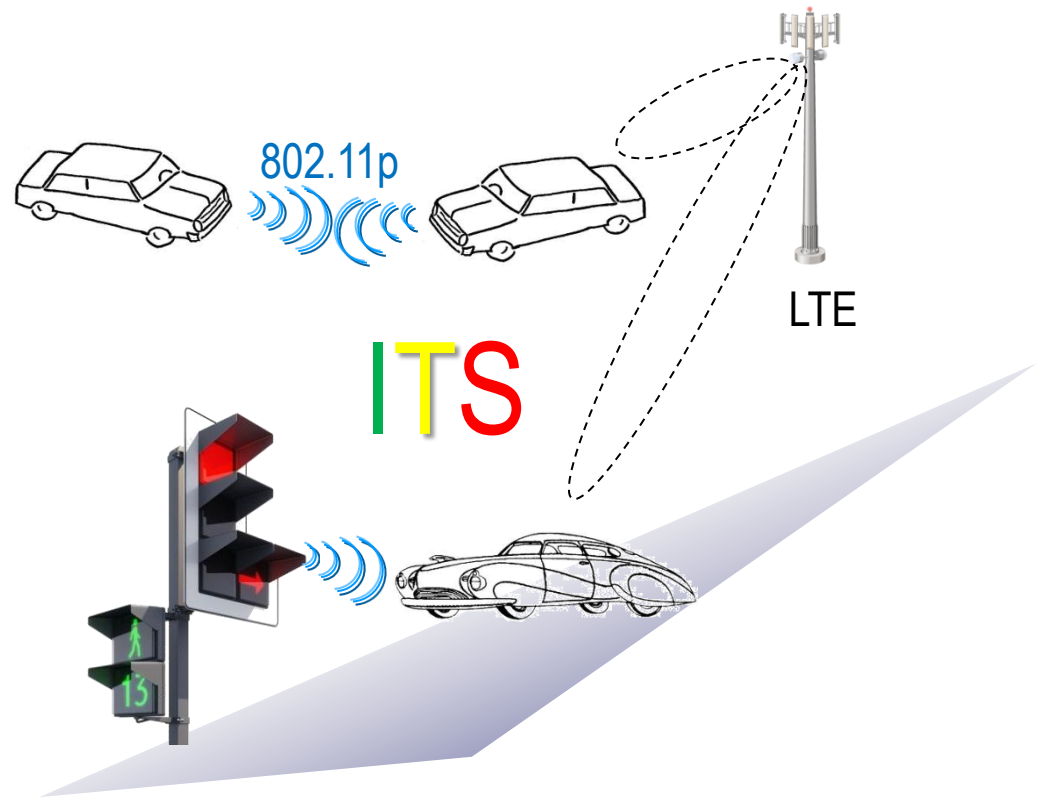
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## Smart Grid Study Group

- NIST coordinating work on smart grid standards
- Many organizations involved
- Overview presentation
  - <https://mentor.ieee.org/802.11/dcn/11/11-11-0133-00-0000-smart-grid-ad-hoc-january-2011.ppt>
- ITU-R WP1A is developing a Preliminary Draft New Report (PDNR) dealing with Smart Grid power management systems.
  - <https://mentor.ieee.org/802.18/dcn/11/18-11-0002-00-0000-itu-r-wp1a-draft-report-on-smart-grid-power-management-systems.docx>

## Intelligent Transportation Systems (ITS)

- Big emerging market
- Embracing 802.11p and LTE with sophisticated software stacks on top



## Summary

- Wireless broadband industry is based on Wi-Fi and LTE
  - Wi-Fi indoors
  - LTE outdoors
- Wi-Fi and LTE are also now being embraced by
  - Military and Public Safety markets
  - Intelligent Transportation Systems also embracing 802.11p and LTE
  - Possibly Smart Grid
- MIMO and OFDM/OFDMA are going to be with us for some time to come

## For More Information

- White papers, presentations, articles and test reports on a variety of wireless topics

Thank  
You

[www.octoscope.com](http://www.octoscope.com)